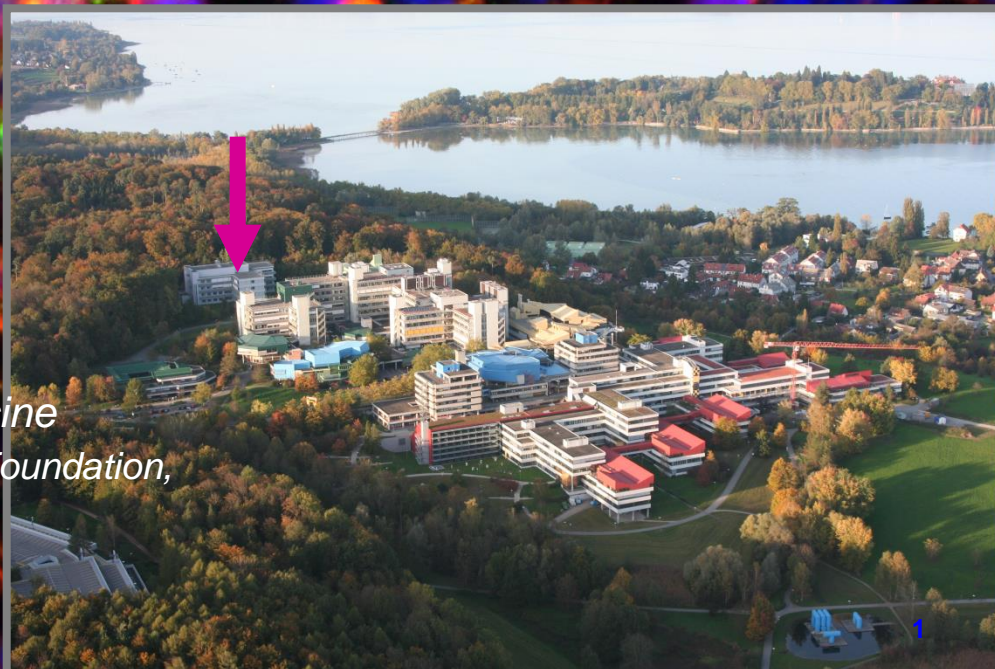




EFSA conference: New approach methods (NAM) in toxicology for mechanism-based hazard assessment

Marcel Leist
Professor for In Vitro Toxicology and Biomedicine
Chair inaugurated by the Doerenkamp-Zbinden Foundation,
University of Konstanz, Germany



Need of human cell-based models in toxicology

Predictivity



<https://joshmitteldorf.scienceblog.com/category/uncategorized/>

Mechanisms



Species barriers



<https://www.innovativetesting.nl/news?page=3>

Ressources, costs, throughput



<https://thedailyblog.co.nz/2015/07/10/money-as-a-social-technology/>

Why not the good old way...?



fashion?

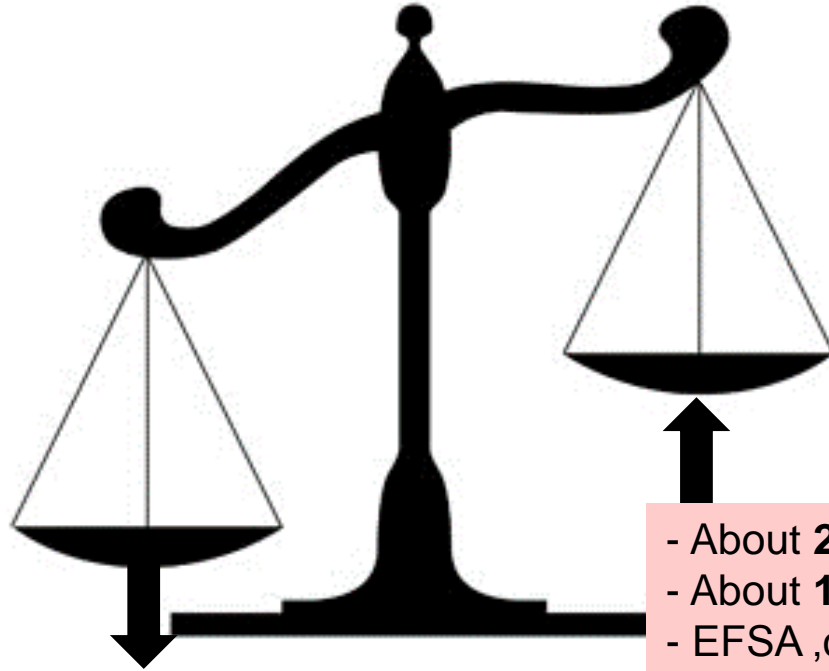
Why not the good old way...?



© Daniela Baack / pixelio.de



Why not the good old way...?



Reach: > **8000** chemicals (high tonnage),
TSCA: > **8000** high production vol. chemicals,
Hundreds of pesticides,
Thousands of food additives,
etc....

- About **200** regulatory DNT studies
- About **10** industrial chemicals
- EFSA ,claims' 34 pesticides tested*
- Number of positives unclear (no survey)
- About **14** substances with human evidence

* (unpublished)

Two reasons to consider mechanisms

I. Making sense of data

II. Generation of data

Two reasons to consider mechanisms

I. Making sense of data → Examples

A. Animal studies

Aa: eye opening delayed by 0.5 days; altered gender balance; etc.
(implication; relevance?)

Ab: hyperactivity (species extrapolation; implication?)

B. Epidemiological studies

Ba: Parkinsonism & childhood leukemia in areas of high pesticide use
(plausibility, causality?)

Bb: Methylmercury from fish intake and cognitive performance
(modulation by nutrients; causality; confounding?)

C. In vitro studies

Ca: Positive outcome in the embryonic stem cell test (EST)
(relevance; association with adverse outcome?)

Cb: Zebra fish altered movement in the dark
(relevance; association with adverse outcome?)

Two reasons to consider mechanisms

II. Generation of data

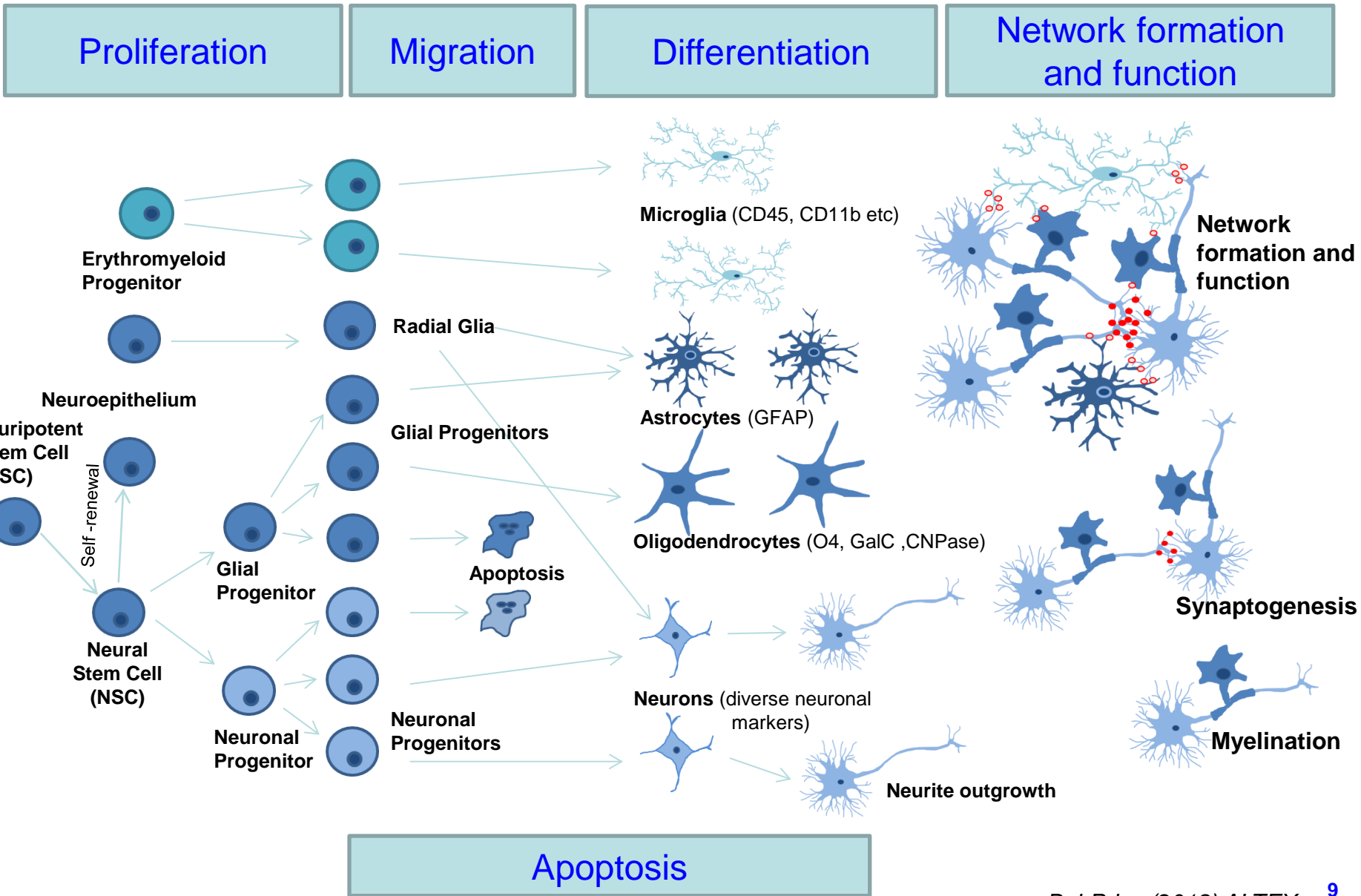
Principle: ‚process control‘ instead of ‚end stage control‘

Assumption I: there are **key neurodevelopmental processes** required to form a fully functional and intact nervous system.

Assumption II: if **key neurodevelopmental processes** are disturbed, functional or structural deficits may arise.

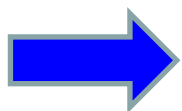
Procedure: define and establish test methods for **key neurodevelopmental processes** and evaluate interference by test chemicals

Key neurodevelopmental processes



Eventually, any DNT finding (man or animal) must be due to a combination of disturbed neurodevelopmental processes

In vivo Finding	Disturbed neurodevelopmental processes
Brain weight up/down	Proliferation, Apoptosis
Holoprosencephaly	Apoptosis, Neurodifferentiation
Lissencephaly	Apoptosis, Neurodifferentiation, Migration
Neuroinflammation	Astrocyte activation, Gliosis, Neurodegeneration
Cortical layer thickness	Proliferation, Migration, Myelination
Disturbed reflexes	Neurodifferentiation, Myelination, Synaptic transmission
Anxiety behaviour	Neurodifferentiation, Synaptic transmission, Synapse formation



If a compound does not disturb at least one process, it cannot be associated with a DNT hazard

Two reasons to consider mechanisms

II. Generation of data: expectations and challenges

De novo (no prior knowledge) evaluation of a new unknown compound for classification and labelling

Screening of libraries of compounds to check for ,alerts‘ and to prioritize for further more comprehensive (resource-consuming) testing

Two reasons to consider mechanisms

II. Generation of data: expectations and challenges

De novo (no prior knowledge) evaluation of a new unknown compound for classification and labelling

Read-across (RAX):

1. anchoring toxicity of unknown compound by comparison to similar* known compound (s);
2. comparison within a category of related* compounds

Screening of libraries of compounds to check for ,alerts' and to prioritize for further more comprehensive (resource-consuming) testing

* *similarity* extended from structure to *mechanisms* (and metabolism)

Two reasons to consider mechanisms

I. Making sense of data

Plausibility, relevance
Species extrapolation
Causality

II. Generation of data

Key neurodevelopmental processes
Gap-filling / screening/ prioritization
Read-across (RAX)
De novo evaluation

What is wrong with descriptive data

(Often outdated technology)

Data always describe a **model** – **not the reality!**

→ always an extrapolation required (**uncertainty**)

→ poor explanation of uncertainty

→ implicit mechanistic assumptions (not rationalized and validated)

Example: mouse cancer bioassay

Perfect description, but wrong model (< 60% concordance)

Is a mechanistic approach less direct?

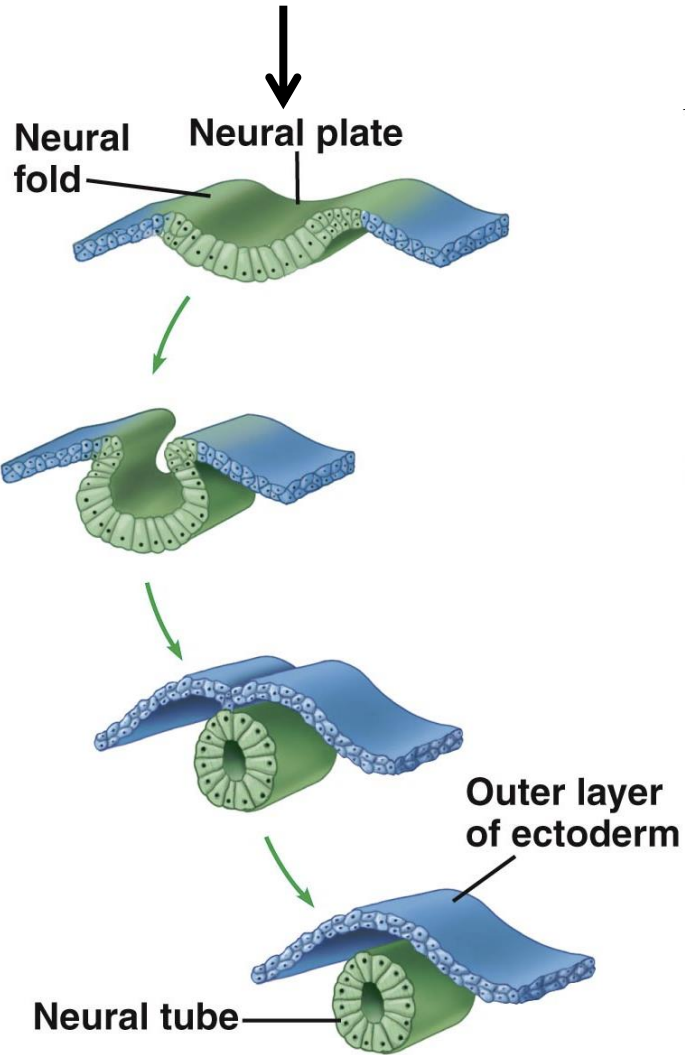
Level	Parameter
Direct observation	Altered light-dark behaviour

Is a mechanistic approach less direct?

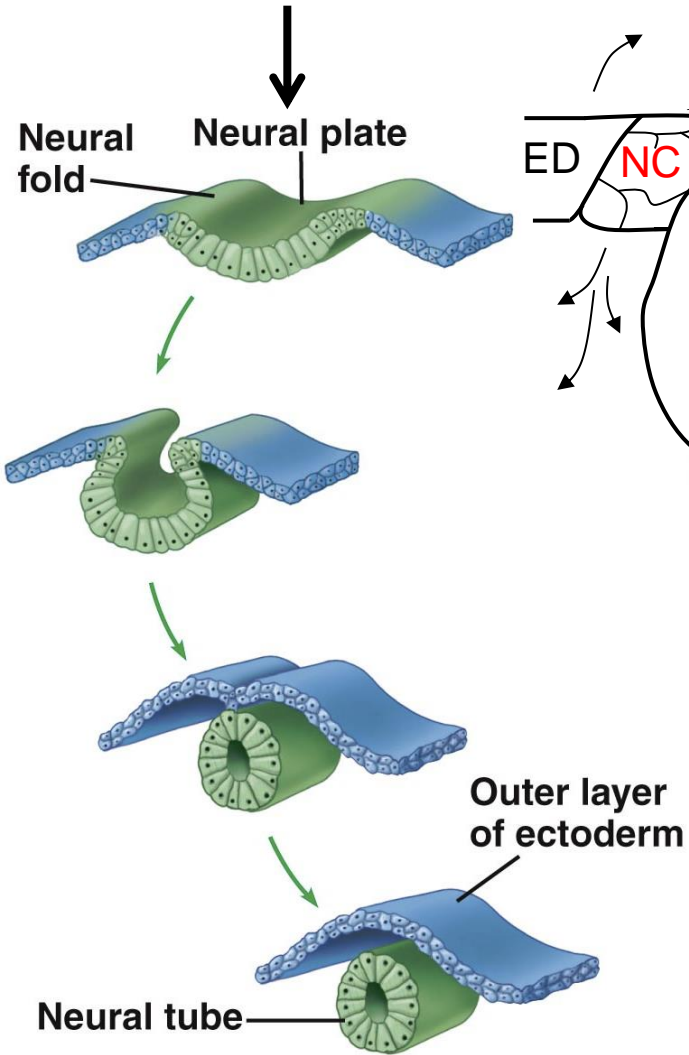
Level	Parameter	Human situation
Direct observation	Altered light-dark behaviour	Meaningless
Interpretation (theoretical construct)	Anxiety	
Endophenotype (measurable change in structure or connectivity)	Altered function/structure of amygdala (limbic system)	
Processes disturbed (during development)	Migration/Differentiation	
Mechanistic correlate / endpoint	Hit in Migration/Differentiation assay	



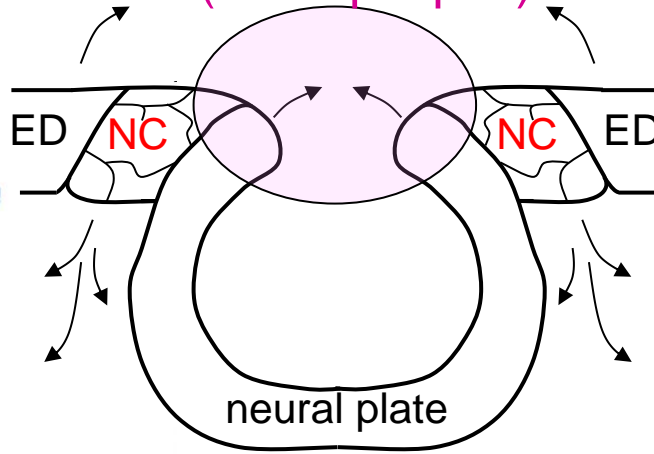
Example: Test of early brain/spinal cord development



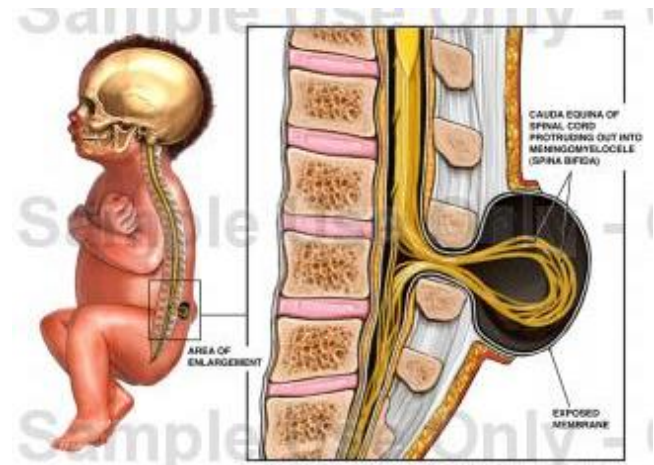
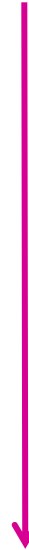
forms spinal cord



Valproic acid (VPA)
(anti-epileptic)

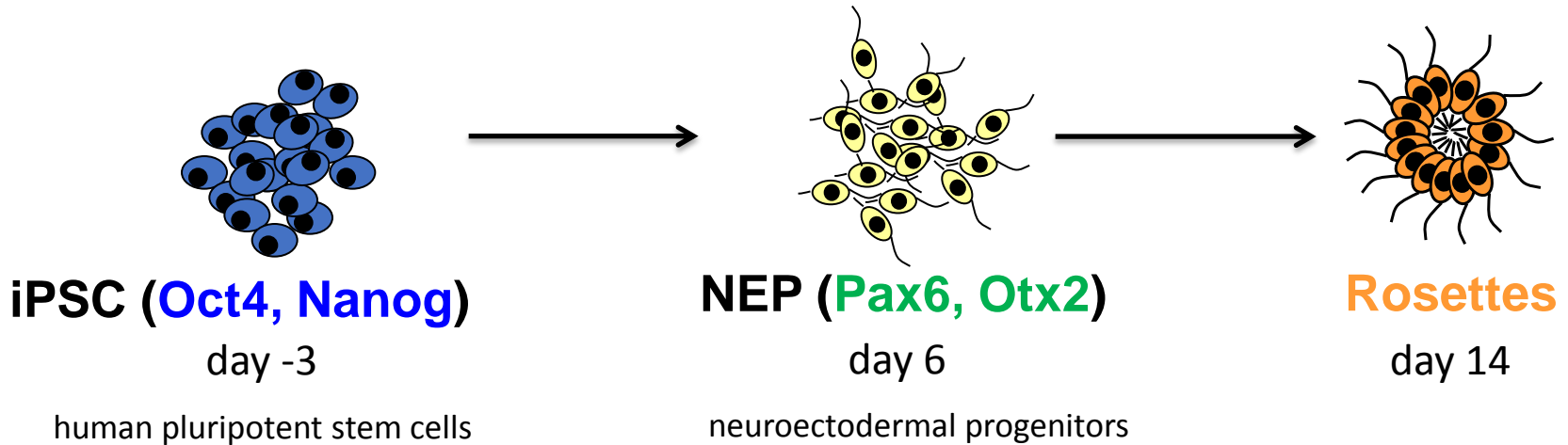
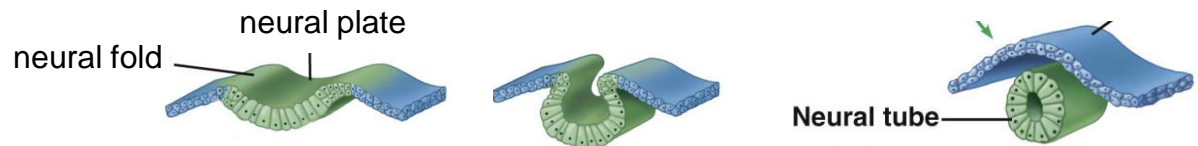
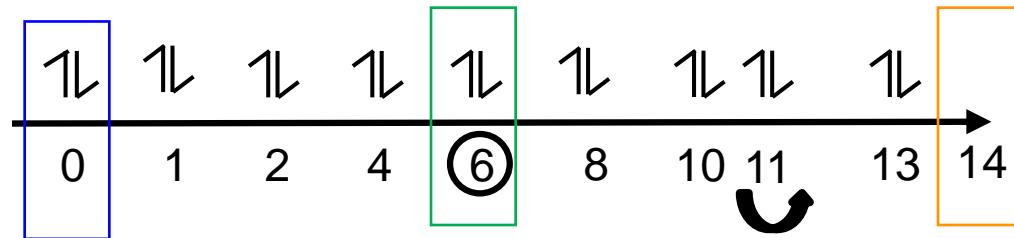


→ Failure of neural tube closure

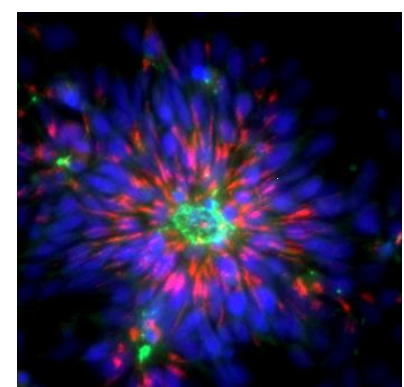
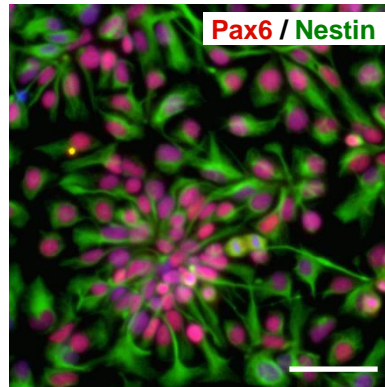
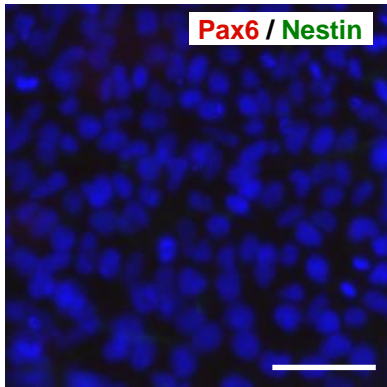
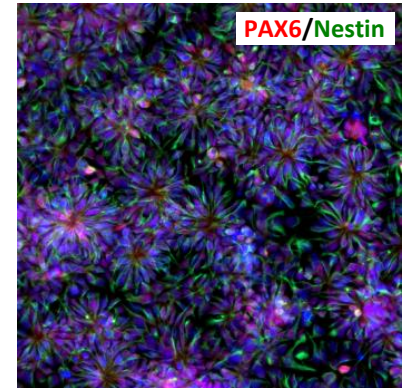
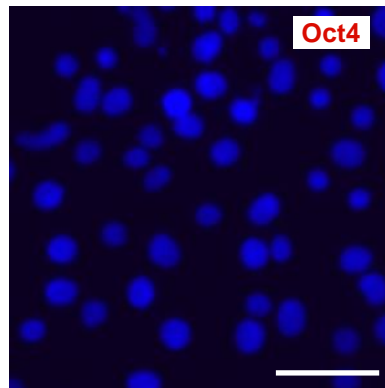
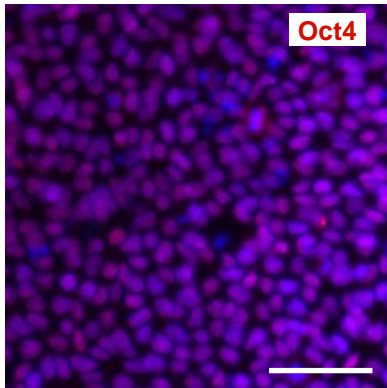
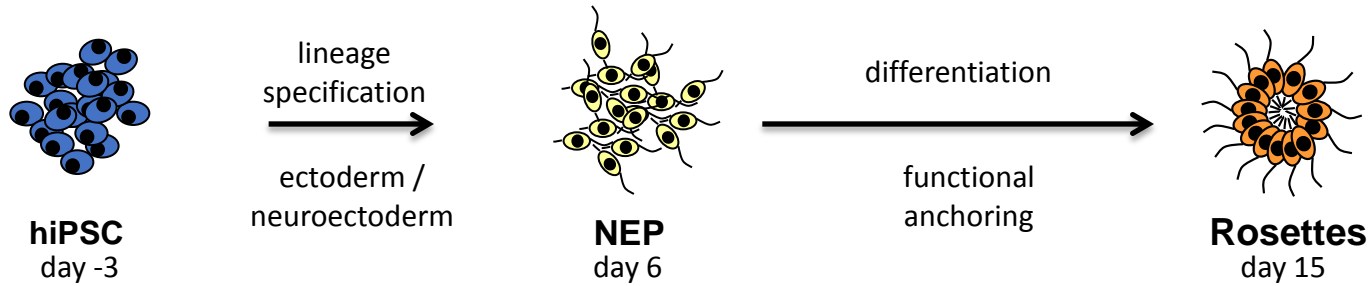


forms spinal cord

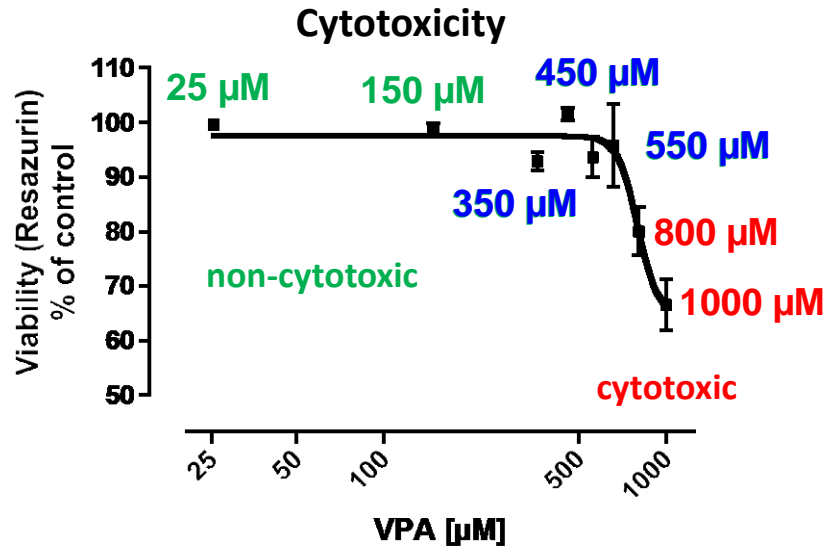
Cellular model: Neural differentiation from hiPSC



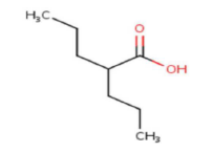
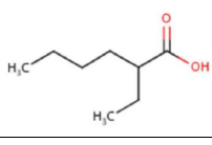
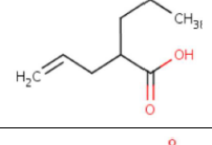
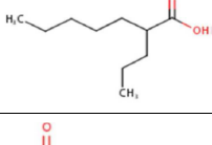
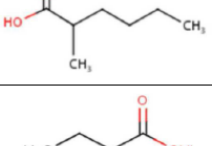
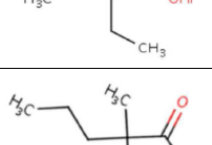
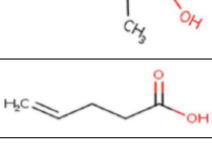
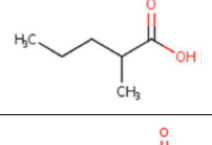
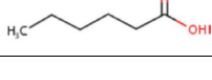
Cellular model: Neural differentiation from iPSC



Relevant concentration range – concentration response of valproic acid (VPA)



- ➔ Gene expression changes start with 350 μM VPA
- ➔ no cytotoxicity observed in this range

VPA analogues	CAS-Nrs	structure	In vivo response
VPA	99-66-1		+++
2-ethyl-hexanoic acid	149-57-5		+++
4-ene-VPA	1575-72-0		++
2-n-propyl-heptanoic acid	31080-39-4		+++
2-methyl-hexanoic acid	4536-23-6		-
2-ethyl-butyric acid	88-09-5		-
2,2-dimethyl-pentanoic acid	1185-39-3		-
Pentenoic acid	109-52-4		-
2-methyl-pentanoic acid	97-61-0		?
Hexanoic acid	142-62-1		?

Valproate (VPA)
analogues and
their *in vivo*
response

Testing Strategy

Concentration – Response
C1 = 5 mM
Endpoint: Resazurin reduction

Curve fitting (Graph Pad, 4 parameter fit)
Determination of EC10

Concentrations around EC10
Endpoint day 6: RT-qPCR, gene expression

Concentrations around EC10
Endpoint day 15: rosettes formation

EC10 > 2.5 mM?

PAX6, OTX2
& AP2 changed?

No hit

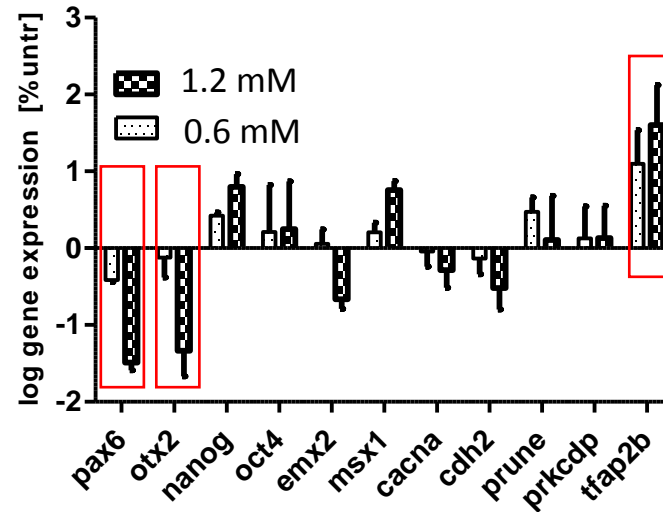
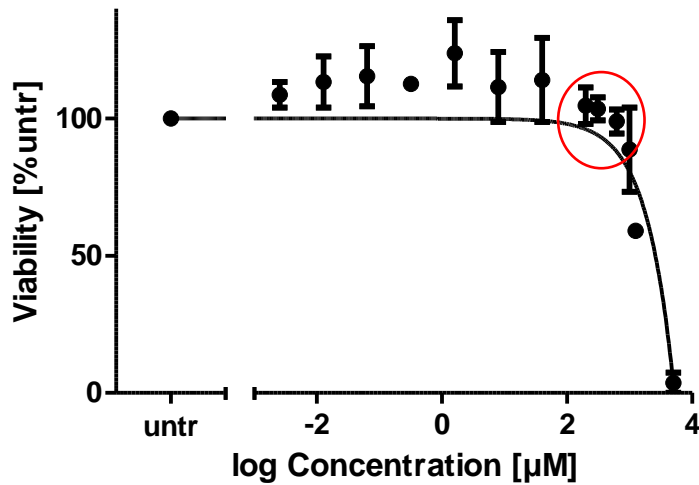
Rosettes reduced
> 75% of control?

Hit

Example for a hit: 4-ene-VPA

Gene expression: 1.2 & 0.625 mM

Viability



- Testing in non-cytotoxic range
- Expected gene expression changes
- Inhibition of rosettes formation

Summary Table

3 clear hits:

- | | |
|------------------------|--------------------|
| → Valproic acid | in vivo positive ✓ |
| → 2-Ethylhexanoic acid | in vivo positive ✓ |
| → 4 ene VPA | in vivo positive ✓ |

3 are unclear:

- | | |
|---------------------------|------------------|
| → Hexanoic acid | in vivo unknown |
| → 2-Methylhexanoic acid | in vivo negative |
| → 2-methyl-pentanoic acid | in vivo unknown |




2 clear Negatives:

- | | |
|----------------------------|--------------------|
| → 2 Ethylbutyric acid | in vivo negative ✓ |
| → 2,2-Dimethylvaleric acid | in vivo negative ✓ |

Results from a test battery

Analogues	In vivo NTD	ZET EC10	ZET reporter	EST (c) IC50	UKN IC10	CALUX
VPA	+++	10	+++	378	600*	
4-ene-VPA	++		++	518	534*	
2-ethyl hexanoic acid	+++		++	1115	943*	
2-propyl heptanoic acid	+++	10	+++	365	208*	
2-ethyl butyric acid	-		-	>3000		
2,2-dimethyl pentanoic acid	-		+	>3000		
2-methyl pentanoic acid	?	250	+	>3000		

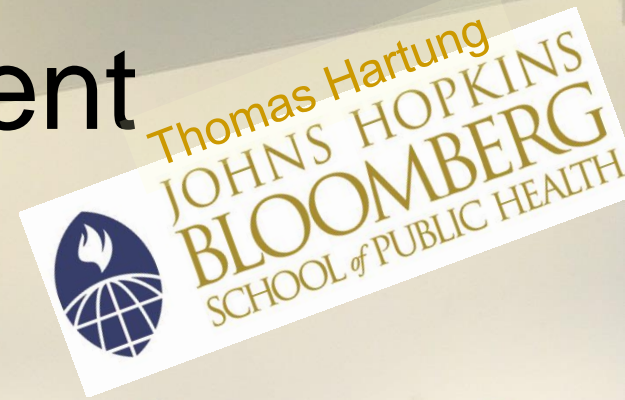
(note: data without PBPK correction)

-  **negative** (in vitro / in vivo)
-  unclear/ intermediate (in vitro / in vivo)
-  **positive** (in vitro / in vivo)

Summary

1. Mechanistic risk assessment adds value to data
2. Mechanistic risk assessment allows for new NAM-based approaches
3. A battery of tests for key neurodevelopmental processes is available and has been successfully used in case studies
4. There is an educational need on all sides to understand strengths and weaknesses of the new approaches; discussions of case studies can provide a platform

Acknowledgement



 EUTOXRISK

Bob van de Water
Hennicke Kamp and many others