

EFSA PROGRESS REPORT

The present report refers to the period from the 15th of September to the 15th of November 2016. This report does not provide an exhaustive list of activities performed during the reporting period, but aims at highlighting the main outcomes achieved with regards to the implementation of EFSA's work programme (Programming Document 2016-2019). Following indications received from the Board, this report also includes a table summarising the status of the legal cases pending before the Court of Justice and the General Court (Annex I), as well as information on the 2016 budget execution and transfers (Annex II). In addition, a note on the Maximum Residue Levels (MRLs) backlog reduction plan is presented in Annex III.

SCIENCE

Overview of the outputs produced in the reporting period

In the risk assessment area, EFSA adopted a total of 46 scientific opinions, 35 of which in the area of regulated products. In addition, EFSA issued 6 statements, 2 editorials, 11 technical reports, 5 external reports, 9 conclusions on pesticides peer review and 10 reasoned opinions on MRLs. The most important among these outputs are described in the following paragraphs.

Pesticide residues in food

Ninety-seven per cent of food samples collected in the European Union are free of pesticide residues or contain traces that are within legal limits. The conclusion is part of EFSA's latest annual report on pesticide residues in food, which analyses the results of almost 83,000 food samples from the 28 EU Member States – including Croatia for the first time – as well as Iceland and Norway.

Novel and traditional food

EFSA has published two guidance documents on novel food and traditional food from third countries to help ensure that these foods are safe before risk managers decide whether they can be marketed in Europe. EFSA developed the guidance following the adoption of the new European regulation on novel food in November 2015. The regulation will come into effect in January 2018 and introduces a centralised assessment and authorisation procedure. EU risk managers will decide on the market authorization of novel foods and may ask EFSA to conduct a scientific risk assessment to confirm their safety.

Erucic acid

Erucic acid, a naturally occurring contaminant present in vegetable oil, is not a safety concern for most consumers as average exposure is less than half the safe level. But it may be a long-term health risk for children up to 10 years of age who consume high amounts of foods containing this substance. EFSA also found that levels of erucic acid present in animal feed may be a health risk for chickens.

Dietary reference values

EFSA has set dietary reference values (DRVs) for the intake of vitamin D and potassium. The Panel on Dietetic Products, Nutrition and Allergies (NDA) defined an adequate intake of vitamin D in 15 µg per day for healthy individuals over one year of age. This includes pregnant and lactating women. The DRVs for infants aged 7-11 months have been set at 10 µg per day. EFSA has also set DRV for potassium, which is an essential mineral in the human diet and plays an important role in many physiological processes in the human

body including the distribution of body fluids, nerve impulse transmission and muscle contraction. The NDA Panel defined daily adequate intakes for different age categories.

Bisphenol A

New data confirm EFSA's previous conclusion that bisphenol A (BPA) might affect the immune system in animals, but the evidence is too limited to draw any conclusions for human health. Following a request from the Dutch Ministry of Health, Welfare and Sport, EFSA's experts reviewed two studies by *Ménard et al.* (unpublished at the time of EFSA's last comprehensive evaluation of BPA) and concluded that there were key limitations in the way they were designed and carried out.

COMMUNICATIONS

Overview of the outputs produced in the reporting period

In the reporting period, 40 outputs were published in four languages, including annual pesticide residues report, novel food guidance, bisphenol A, the HEALTHY-B opinion (on the health status of bee colonies), Vitamin D and new cooperation initiatives with China and Chile. Media coverage counted approx. 1850 articles mentioning EFSA (+54% compared to the previous reporting period) and 130 enquiries by journalists (-13% compared to the previous reporting period). Main topics of media enquires: release of further industry data on glyphosate, pesticide residues in food, processed contaminants and GMOs. EFSA's accounts on Twitter and LinkedIn reached 15,800 and 18,400 followers respectively (an average of 3% monthly increase). In November, EFSA launched the second thematic account @Methods_EFSA on Twitter and LinkedIn.

SCIENTIFIC COOPERATION

EFSA and ECDC: Multi-country Salmonella outbreak

Seven countries (Belgium, Denmark, Luxembourg, the Netherlands, Norway, Sweden and the UK) have reported human cases of Salmonella Enteritidis between 1 May and 12 October 2016 (112 confirmed and 148 probable). Evidence suggests eggs packed in a Polish firm as the most likely source of infection. Polish competent authorities and Member States to which suspect eggs were distributed have now halted distribution. To contain the outbreak and identify possible new cases promptly, the European Centre for Disease Prevention and Control (ECDC) and EFSA recommend that EU Member States step up their monitoring.

EFSA, EMA and ECDC: Antimicrobial resistance

EFSA, the European Medicines Agency (EMA) and the European Centre for Disease Prevention and Control (ECDC) are to propose a list of indicators that will enable risk managers to monitor the reduction of antimicrobial resistance and the use of antimicrobials in humans, food-producing animals and food. The indicators, requested by the European Commission, will also help risk managers assess the impact of mitigation measures and the effectiveness of their action plans. The proposals will be delivered in the second half of 2017.

Strengthened partnership with the Member States

The Members of the Advisory Forum have reaffirmed their continued support for EFSA and its work. In a [Declaration of Commitment](#) they have agreed on a range of measures and activities that will ensure that EFSA and Advisory Forum members can together meet future challenges in the area of food safety.

EU-FORA: European food risk assessment fellowship programme

EFSA has launched the EU-FORA, a key initiative for building the EU's scientific assessment capacity and knowledge community. The programme provides scientists from EU national risk assessment institutes and other Article 36 organisations who are still in the early stages of their career the opportunity to increase their expertise in food safety risk assessment. Scientists participating in the scheme will spend 12 months working and gaining experience at a food safety risk assessment institute in another EU country. A [dedicated web page](#) of the EFSA website provides interested parties with detailed information on the fellowship programme.

STAKEHOLDER ENGAGEMENT

Public consultation on chemical mixtures

EFSA has launched a public consultation on preparing the terms of reference drafted for a working group of the Scientific Committee on "Harmonisation of risk assessment methodologies for human health and ecological risk assessment of combined exposure to multiple chemicals". The working group will develop a draft guidance document for human and ecological risk assessment of combined exposure to multiple chemicals. Following input from this public consultation, the working group of the scientific committee will review the contributions and consider them in developing the guidance document. Once finalised, the draft guidance document will be subject to another public consultation before its finalisation.

Open access to scientific models and tools

Scientific models used by EFSA over the last 15 years have been brought together in a new EFSA community, named the [Knowledge Junction](#). The models can be shared and cited and interested people can share their own models. A selection of these tools is also available as web applications on the new EFSA Statistical Models Platform. Modelling plays a crucial role in scientific risk assessment and EFSA has set up this new community platform to make all the models EFSA has used available to people interested in food and feed risk assessment. The aim is to improve transparency, reproducibility and evidence reuse. By depositing their own supporting evidence and tools, interested people will bring their own contribution to the wider risk assessment community.

Stakeholder discussion groups

The Stakeholder discussion group on chemical occurrence data held a meeting on 4 October. Among others, the group discussed around dietary exposure modelling, food additive re-evaluation, dietary exposure to contaminants and exposure assessments for food enzymes.

Within the framework of the MATRIX project (aiming at increasing the efficiency of regulated products' risk assessment process), the stakeholder discussion group on E-submission held its kick-off meeting.

Conference on the assessment of pesticides

On the occasion of the twenty-fifth anniversary of EU environmental risk assessment introduced by Council Directive 91/414/EEC, EFSA hosted a conference to engage stakeholders in an open dialogue on this topic. Among others, participants to the conference discussed the EU regulatory approach for pesticides (pre-marketing and post-marketing) and reviewed the achievements in the area of environmental risk assessment for pesticides in response to regulatory scientific requirements.

EXTERNAL RELATIONS

EFSA enhances cooperation with China and Chile

EFSA and the China National Centre for Food Safety Risk Assessment (CFSA) signed a Memorandum of Cooperation setting a legal basis to ensure cooperation programmes in the areas of capacity building, exchange of knowledge and expertise, as well as stimulating harmonisation and innovation in food safety risk assessment methods and approaches.

EFSA and the Chilean Ministry of Agriculture through the Chilean Food Quality and Safety Agency (ACHIPIA) signed a Memorandum of Cooperation to enhance their scientific cooperation and dialogue related to risk assessment. The future cooperation focuses on two main areas: the collection, analysis and sharing of technical data in risk assessment; and the sharing of views and expertise in the area of methodologies for data collection, risk assessment and risk communication.

Visits

EFSA received the visit of the new Director of US FDA's Europe Office in Brussels. EFSA's ED visited the food safety authorities in Slovakia, Finland, Sweden and Hungary. The visits resulted in joint projects on: spread of vector-borne diseases and parasites (SK) and risk ranking approaches (FI and SE). EFSA also met with officers at the Maltese Permanent Representation in Brussels seeking cooperation opportunities mainly in the area of animal and plant health. As well, the ED met with the ED of ECHA, and with the acting Director of ECDC and discussed with them about cooperation in areas as data exchange and interaction through the Network of Agencies. In the framework of EFSA's stakeholder engagement approach, the ED met with BEUC's Director General.

SCIENCE

Scientific Committee and Emerging Risks	<p><u>Scientific Committee</u></p> <ul style="list-style-type: none"> ○ Editorial on the endorsement of the review of authorship principles. <p><u>SCER Unit</u></p> <ul style="list-style-type: none"> ○ Technical report on 'EFSA's activities on emerging risks in 2015'.
Animal health and plant health	<p><u>Panel on Plant health</u></p> <ul style="list-style-type: none"> ○ Opinions on: 'Grapevine Flavescence Doree', '<i>Ditylenchus destructor</i>', '<i>Cryphonectria parasitica</i>' and '<i>Ceratocystis platani</i>' pest risk assessment and risk reduction options. ○ Statements on the susceptibility of '<i>Phoenix roebelenii</i>', '<i>Citrus spp.</i>', '<i>Quercus ilex</i>' and '<i>Vitis spp.</i>' to <i>Xylella fastidiosa</i>. <p><u>ALPHA Unit</u></p> <ul style="list-style-type: none"> ○ External report on 'Review and inventory of modelling framework/techniques suitable for assessing the risk of pathogen introduction and establishment and the risk of pathogen transmission and spread'. ○ Technical report on <i>Echinococcus Multilocularis</i> infection in animals.
Biological hazards and contaminants	<p><u>Panel on Contaminants in the food chain (CONTAM)</u></p> <ul style="list-style-type: none"> ○ Opinion on 'Erucic acid in food and feed'. <p><u>BIOCONTAM Unit</u></p> <ul style="list-style-type: none"> ○ Joint EFSA-ECDC technical report on 'Multi-country outbreak of Salmonella Enteritidis'. ○ EU summary report on data on the surveillance of ruminants for the presence of transmissible spongiform encephalopathies (TSEs) in 2015.
Additives and products or substances used in animal feed	<p><u>Panel on Additives and products or substances used in animal feed</u></p> <ul style="list-style-type: none"> ○ Opinions on the evaluation of feed additives: sensory (4), technological (3), zootechnical (6), nutritional (1) and 1 coccidiostats and histomonostats (1).
Food ingredients and packaging	<p><u>Panel on Food additives and nutrient sources added to food</u></p> <ul style="list-style-type: none"> ○ Opinions on the re-evaluation of food additives (5) and the extension of use referring to food additives (1). <p><u>Panel on Food contact materials, enzymes, flavourings and processing aids</u></p> <ul style="list-style-type: none"> ○ Opinions on food contact materials (3), including the statement on the developmental immunotoxicity of Bisphenol A

	addressing an urgent request of the Dutch Government.
Genetically modified organisms	<p><u>Panel on Genetically modified organisms</u></p> <ul style="list-style-type: none"> ○ Opinions on: 'Soybean DAS-81419-2', 'Cotton GHB119' and 'Maize DAS-40278-9'. ○ Statement on new sequencing information for DAS-59122-7 maize. <p><u>GMO Unit</u></p> <ul style="list-style-type: none"> ○ Technical report on the 'Impact of teosintes presence in Spanish maize fields'. ○ Kick off meeting of the EFSA GMO working group on integration/non-integration of a DNA plasmid. ○ Technical meeting with GMO applicants.
Dietetic products, nutrition and allergies	<p><u>Panel on Dietetic products, nutrition and allergies</u></p> <ul style="list-style-type: none"> ○ Guidance documents on: 'Notification of traditional foods from third countries' and 'Applications for authorisation of novel foods'. ○ Opinions on: Health claims Art. 13.5 (1), Health claims Art. 14 (1), Novel foods (2), DRVs for potassium and vitamin D, 'Energy conversion factor of D-tagatose' and the 'Non-allergenicity of behenic acid for the manufacturing of certain emulsifiers'. <p><u>NUTRI Unit</u></p> <ul style="list-style-type: none"> ○ Technical reports on the outcome of 5 public consultations: DRVs for potassium and vitamin D, Guidance on traditional foods, Guidance on novel foods and Energy conversion factor of D-tagatose.
Pesticides and plant protection products and their residues	<p><u>PRAS Unit</u></p> <ul style="list-style-type: none"> ○ 9 conclusions on pesticides peer review. ○ 10 MRL reasoned opinions. ○ Annual report on Pesticide Residues for 2014. ○ External report on the 'Development of software models for predicting environmental concentrations of plant protection products in soil'. ○ Technical reports on: Finalisation of reporting tables on confirmatory data (4), Basic substances (2) and 'National summary reports on pesticide residue analysis performed in 2014'.
Assessment and methodological support	<ul style="list-style-type: none"> ○ External report on 'WEB application for the analysis of spatio-temporal data'. ○ Interim report on 'Inventory of successful crowdsourcing in the scientific domain'.

Evidence management	<ul style="list-style-type: none"> External reports on: 'Implementation and testing of electronic submission in XML format of zoonoses', Antimicrobial resistance and food-borne outbreak data from Estonia and Switzerland and on the results of the SSD2 pilot implementation in Greece. Exposure assessments to assist the CONTAM Panel (erucic acid), the NDA Panel (DRVs for potassium and vitamin D) and the ANS Panel (re-evaluation of β-cyclodextrin (E 459) as a food additive). Contributions to the guidance of the NDA Panel on the preparation and presentation of Novel food applications and to the statement by the CEF panel concerning exposure assessment of food enzymes.
Application Desk	<p><u>APDESK Unit</u></p> <ul style="list-style-type: none"> Receipt of 74 applications and 35 requests via web form. Support to outreach and support services for the organisation of an info sessions on 'Applications on the EFSA guidance on pesticide residue definition for dietary risk assessment'.
Quality Management	<ul style="list-style-type: none"> EFSA obtained the ISO 9001:2015 certificate. EFSA obtained the ISO 14001:2004 certificate. This represents a step towards the EMAS registration, planned for next year. Kick off on the terms of reference frontloading project with DG Santé. Carry out of customer feedback exercise with DG Santé and MS (Germany).

COMMUNICATION & EXTERNAL RELATIONS

Media and social media	<ul style="list-style-type: none"> 13% decrease in incoming enquiries by journalists (130 in total) and 54 % increase of articles mentioning EFSA (approximately 1,850 articles mentioning EFSA. Main topics: glyphosate, pesticide residues in food, processed contaminants and GMOs. Editorial on antimicrobial resistance released in the UK specialized publication Adjacent Government. Interviews with the ED in the specialized Slovak publication Rolnicke Noviny, Hungarian daily Magyar Idok and in Southern Weekly. Caixin and other Chinese publications were released during the ED visit to China held in November. Twitter and LinkedIn EFSA accounts reached 15,800 and 18,400 followers respectively (average 3% monthly increase). The second thematic account @Methods_EFSA on Twitter and LinkedIn was launched.
Content production and dissemination	<p><u>Content (news, publications and multimedia)</u></p> <ul style="list-style-type: none"> Infographics on pesticide residues and hazard versus risk. 40 news items in 4 languages, including on annual pesticide residues report, novel food guidance, bisphenol A, the

	<p>HEALTHY-B opinion, Vitamin D and cooperation initiatives with China and Chile.</p> <ul style="list-style-type: none"> ○ Launch of OpenSCAIE open access modelling platform. <p><u>Website</u></p> <ul style="list-style-type: none"> ○ News Alerts now using detailed analytics allowing to better track preferences of our subscribers.
Stakeholders	<ul style="list-style-type: none"> ○ Stakeholder Engagement Approach: Registration opened on 23 September. ○ Meeting of EFSA's ED with BEUC's Director General. ○ Meeting of the Stakeholder Discussion Group on Food Chemical Occurrence Data. ○ Kick-off meeting of the EFSA MATRIX Stakeholder Discussion Group on E-submissions.
Institutional relations and scientific cooperation	<p><u>European Commission</u></p> <ul style="list-style-type: none"> ○ Meeting of the ED with DG SANTE's Director General and with Commissioner Andriukaitis' Deputy Head of Cabinet. <p><u>European Parliament</u></p> <ul style="list-style-type: none"> ○ Bee breakfast meeting with MEPs to seek support for EFSA to showcase the MUST-B project and be involved in the EU Bee Health Week that will take place in June 2017. <p><u>Member States</u></p> <ul style="list-style-type: none"> ○ Meeting with the Maltese Permanent Representation seeking cooperation opportunities mainly in the area of animal and plant health. ○ Exchange of views with Chief Veterinary Officers upon joint invitation by the Slovak Council Presidency and the European Commission. ○ Advisory Forum: signature of a Declaration of Commitment. ○ Focal Point meeting on chemical hazards database: revised AF operational procedures, Cooperation Newsletter, renewal of FP agreements for 2017. ○ ED visits to Slovakia (27/09), Finland (10-11/10), Sweden (12-13/10) and Hungary (11/11), resulting in agreement to conduct joint projects on 'Spread of vector-borne diseases and parasites' (with Slovakia), and 'Risk ranking' (Finland and Sweden). <p><u>EU agencies</u></p> <ul style="list-style-type: none"> ○ The ED attended the meetings of the Heads of Agencies in Alicante. EFSA representatives also attended the meetings of the Heads of Administration and of the Heads of Communications. ○ Training session on EFSA's guidance document on uncertainty, attended by ECDC, ECHA, EMA, EMCDDA and EEA. ○ The ED met with the ED of ECHA, and with the acting Director of ECDC and discussed cooperation in areas as data exchange and interaction through the Network of Agencies. ○ Participation in the 8th EU ANSA meeting. Main topics: updates on working groups (uncertainty, research needs and

	open data).
International relations	<ul style="list-style-type: none"> ○ Memoranda of Cooperation signed with the Chilean Food Quality and Safety Agency (ACHIPIA) and with the China Food and Drug Administration (CFDA). ○ The ED participated in the China International Food Safety & Quality Conference (CIFSQ) in Shanghai. EFSA's operations and Strategy 2020 were presented and a seminar was held on 2017-2020 priorities, including AMR and Novel Foods. ○ Pre-accession: study visit to the Croatian Food Agency (HAH) to strengthen existing networks and partnership and identify opportunities for future projects. Training on data collection and reporting of zoonoses and zoonotic agents, antimicrobial resistance and food-borne outbreaks. ○ Visit to EFSA by the new Director of USFDA's Europe Office in Brussels.
Risk assessment capacity building activities	<ul style="list-style-type: none"> ○ Info-sessions for 12 PhD students from Wageningen University. ○ The ED and other staff attended the workshop on food safety risk assessment organised by the University of Parma. ○ The EU-FORA fellowship programme, developed in close cooperation with the MS, has entered the operational phase with the opening of two calls for expression of interest.

CORPORATE – RESOURCES AND SUPPORT

Strategy based services	<p><u>Corporate Business Control (CBC-PTT)</u></p> <ul style="list-style-type: none"> ○ New processes, cascading the strategy to the portfolio and budget management, and new KPIs were applied in the preparation of the Programming Document 2017-2020. <p><u>Independence</u></p> <ul style="list-style-type: none"> ○ Kick off meeting of the Management Board Working Group on the review of the EFSA's policy.
Expertise based services	<p><u>Certifications</u></p> <ul style="list-style-type: none"> ○ EFSA obtained the ISO 14001:2004 certificate attesting that EFSA's Eco-Management System is effective, an important step towards the establishment and implementation of the Eco-Management System compliant with EMAS Regulation (EC 1221/2009). ○ EFSA also obtained the ISO 9001:2015 certificate on 11th of November. <p><u>Court cases</u></p> <ul style="list-style-type: none"> ○ Litigation cases table in Annex.

Expertise based services

Ombudsman

- EFSA was notified (on 21/09) of a complaint brought by Italian Consumers Association Codacons before the Administrative Tribunal of Lazio against the Italian Ministry of Health and several other authorities, including EFSA. The complainant asks to annul the refusal of the Italian Ministry of Health to implement restrictive measures on the use of palm oil in Italy.
- EFSA responded to the complaint for alleged maladministration filed by Pesticides Action Network (PAN). The complainant contends that EFSA i) failed to apply its rules on the declarations of interest related to experts invited to a joint event organized with the WHO; ii) should not invest resources to analyze, improve or use the Threshold on Toxicological Concern (TTC) method; iii) by doing so EFSA infringes its Founding Regulation. EFSA rejected the allegations.

Outreach and support services

- EFSA launched a Hackathon competition which resulted in awarding an Innovation Prize. The aim was to submit innovative ideas to develop a mobile App to facilitate general public access to information on the EFSA work. The winner, proclaimed on 24 September implemented a very innovative feature allowing the scan of commercial products barcodes in order to get information on all the substances contained into the product as well as the related EFSA opinions.
- EFSA jointly organized with the EC and chaired the seminar "Priorities in food safety 2016-2020" in the framework of the China International Food Safety & Quality Conference + Expo 2016 (Shanghai, 3 November 2016).

Technology services (PTT)

- Delivery of the 'Password safe trainings', and organization of the ITIL foundation technology training in cooperation with HUCAP. Provided availability of the Wi-Fi EFSA-ENTREPRISE network to all EFSA users.
- At the EU Agencies' Heads of ICT Network meeting (ICTAC) EFSA chaired two sessions dedicated to the Cloud Services adoption and to the New world of work (NWOW)-Digital Workplace initiatives in the EU institutions.
- PTT, in collaboration with CORSER, is leading the follow up of the EFSA action plan on Business Continuity and the completion of the activities of the Business Continuity project, which includes a study for a Cloud based Disaster Recovery solution.

Talent Selection

On 15 November, 445 posts were occupied and 4 job offers accepted by the selected candidates bringing the total to 449 posts filled-in, out of the planned 470 posts (including Seconded National Experts). With regard to the Establishment Plan (Officials and Temporary Agents) the recruitment execution rate is at 97% and the one of the Contract Agents is at 95.2%, leading to a total occupancy rate of statutory staff of 96.5%. This gives an overall recruitment execution rate of 95.5%, including Seconded National Experts.

		Staff Categories	Target 2016	Total Contracted and Offered
		Temporary Agents and Officials (Establishment Plan)		
		Executives, Managers & Officers (AD)	230	212
		Assistants functions (AST)	100	108
		Total	330	320
		Contract Agents		
		Officers functions (CA FG IV)	75	86
		Assistants functions (CA FG I, II, III)	50	33
		Total	125	119
		Seconded National Experts	15	10
		Total all staff categories	470	449
Transaction based services	<p><u>IT services (PTT)</u> Achieved a high of 78% services delivered within service level agreement against the 2016 target of 60% and against a 2017 target of 75% and a world class level of 90%.</p> <p><u>Financial management</u> EUR 70.33 million (88.6%) of the EUR 79.49 million budget was committed. EUR 56.05 million (70.7%) of the EUR 79.32 million payment appropriations was paid. Two budget transfers were carried out in October and November. More details in Annex II below.</p>			

Glossary

ADoI – Annual Declaration of Interest
AF – EFSA’s Advisory Forum
AFCWG – Advisory Forum Communications Working Group
AFSCO – EFSA Unit on Advisory Forum and Scientific Cooperation
ALPHA – EFSA Unit on Animal health and plant health
AHAW – EFSA Panel on Animal health and welfare
AMR – Antimicrobial resistance
AMU – EFSA Unit on Assessment and methodological support
ANS – EFSA Panel on Food additives and nutrient sources added to food
BIOCONTAM – EFSA Biological hazard and contaminants Unit
BIOHAZ – EFSA Panel on Biological hazards
CEF – EFSA Panel on Food contact materials, enzymes, flavourings and processing aids
COMMS – EFSA Department of Communications
CONTAM – EFSA Panel on Contaminants
DATA – EFSA Unit on Evidence management
DG SANCO – Directorate General for Health and Consumers
DoI – Declaration of Interest
DPO – EFSA Data Protection Officer
EC – European Commission
ECA – European Court of Auditors
ECDC – European Centre for Disease Prevention and Control
ED – EFSA Executive Director
EP – European Parliament
ERA – Environmental risk assessment
ERWG – EFSA’s External Review Working Group
EU – European Union
EXO – EFSA’s Executive Office Unit
FAQ – Frequently asked questions
FEED – EFSA Unit on Feeds
FEEDAP – EFSA Panel on Additives and products or substances used in animal feed
FIP – EFSA Unit on Food ingredients and packaging
FP – EFSA Focal Points
GMO – EFSA Panel/Unit on Genetically modified organism
HUCAP – EFSA Unit on Human capital and knowledge management
IEP – Information exchange platform
IFCSLG – International Food Chemical Safety Liaison Group
MAP – Multi-Annual Plan
MEP – Member of the European Parliament
MRL – Maximum residue levels
MS – EU Member States
PMEM – Post Market Environmental Monitoring
PRAS – EFSA Unit on Pesticides
PPR – EFSA Panel on Plant protection products and their residues
QPS – Qualified Presumption of Safety
RASA – EFSA Department of Risk assessment and scientific assistance
RASFF – Rapid Alert System for Food and Feed
REPRO – EFSA Department of Scientific evaluation of regulated products
RESU – EFSA Department of Resources and Support
SC – EFSA Scientific Committee
SCER – EFSA Scientific Committee and Emerging Risk Unit
SCISTRAT – EFSA Department of Scientific strategy and coordination
SCP – Stakeholders Consultative Platform
SOP – EFSA’s Standard Operational Procedure
WG – Working group

Annex to the Progress Report

Progress Indicators as of 31 October 2016

Executive Summary

This document includes:

- An overview of EFSA's results in the first 10 months of 2016 on key performance indicators
- Detailed tables with figures and data referring to the period 1/1/16 – 31/10/16 on: outputs adopted by unit (figures 1 to 3), analysis of on-going questions by status and their monthly evolution (figures 4 and 5) and evolution of key indicators on Communication activities (figure 6).

In the first 10 months of the year, 258 scientific outputs (304 same period previous year), 82 technical reports (80 same period previous year) and 43 Supporting publications (75 same period previous year) have been finalised/ adopted, representing respectively 81%, 100% and 96% of the yearly target (respectively 77%, 95% and 119% in the same period of the previous year).

The updated forecast at the end of the year is to reach more than 100% of the scientific output target (A1, A2 & A3 together), and more than 100% of the technical reports and supporting publications targets.

Adoption timeliness for Activity 1 - provision of scientific opinions and advice & risk assessment approaches - is aligned with the yearly target of 100% (94% same period previous year).

Adoption timeliness for Activity 2 - evaluation of products, substances and claims subject to authorization - stands at 76% (92% same period previous year), and it will be challenging to reach the year-end target of 90%, in view of high workload, particularly in the pesticides area.

Financial performance at global level is 0.6% below the monthly plan for commitments and 7.3% below the monthly plan for payments (global commitment is 88.6% and global payment at 70.7% of the total yearly appropriation). Commitments for Scientific Cooperation are 2.9% above October plan and stand at €7.41 million (76.5% of the yearly target). Scientific cooperation payments are 4.4% below the monthly plan and stand at €6.52 million (68.5% of the yearly target). The year-end forecast is to reach the targets for the global and the scientific cooperation commitments and payments. For further details on financial performance, please refer to the annex II below (Budget Execution & Transfers).

Overview of Key performance indicators as of 31/10/2016

Description	Traffic light	Comment
1 Scientific Outputs/Technical Reports Activity 1	⦿	32 outputs adopted and 7 technical reports finalised for A1 in the first 10 months of the year. <i>Current forecast to be reached at the end of 2016 is 90% for scientific output (44 vs 49) and 73% for technical report (16 vs 22).</i> <i>Main reason: deadlines of some mandates have been postponed to 2017 and some mandates have not been received as initially planned.</i>
2 Scientific Outputs/Technical Reports Activity 2	😊	221 outputs adopted and 55 technical reports finalised for A2 in the first 10 months of the year. <i>Current forecast to exceed the yearly target for scientific outputs (292 vs 265) and for technical reports (81 vs 47).</i>
3 Scientific Outputs/Technical Reports Activity 3	😊	5 outputs adopted and 20 technical reports finalised for A3 in the first 10 months of the year. <i>Current year end forecast is to exceed the yearly target for scientific outputs (10 vs 5) and for technical reports (23 vs 13).</i>
4 Supporting publications	😊	43 supporting publications finalised in the first 10 months of the year. <i>Current forecast for the end of the year is to exceed the yearly target for supporting publications (67 vs 45).</i>
5 Global Commitments & Payments rate	😊	Global commitments 0.6% below and payments 7.3% below monthly target (for details, see annex II - Budget Execution & Transfers) – Global commitment rate is at 88.6% of the yearly target and global payment is 70.7% of the yearly target. <i>Current forecast for the end of the year is to reach the 100% target for commitments and 90% for payments execution.</i>
6 G&P Commitments & Payments rate	😊	Grants and procurements commitments and payments are below October monthly plan -2.9%/-4.4% respectively (for details, see annex II - Budget Execution & Transfers) <i>Current forecast for the end of the year is to reach 100% for G&P commitments and payments.</i>
7 Adoption Timeliness Activity 1	😊	Timeliness for scientific outputs under A1 is 100% aligned with the yearly target of 100%. <i>Current forecast for the end of the year is to reach the yearly target for Timeliness A1.</i>
8 Adoption Timeliness Activity 2	⦿	Timeliness for scientific outputs under A2 is 76% below target of 90%. <i>Current forecast for the end of the year is not to reach the yearly target for Timeliness A2.</i> <i>Main reasons are: High workload in the pesticides area, and</i>

			<p><i>complexity of the opinions, higher than the resource availability. First measures to increase efficiency have been introduced and a regular evaluation and fine tuning will be performed.</i></p>
9	% of press releases/web news items delivered within 28 WD of output adoption (new Wiley process see explanation in the text)	☹️	<p>Timeliness for scientific outputs published with communication activities is 64% below target of 95%. <i>Main reason: Difficulties for some units to respect current deadlines of the new process. Review of the process and timeline could be needed considering these first months as a trial period.</i></p>
10	Traffic to EFSA WEB content	😊	<p>Total nr. of visits to the EFSA website is 2.493.091 above the monthly target and representing 92% of the yearly target. <i>Current forecast to be reached at the end of 2016 is more than 100%.</i></p>
11	Subscribers to online products	☹️	<p>Subscribers to online products at end of October is 33.792, slightly below the monthly target and representing 94% of the yearly target (36.000). <i>Current forecast to be reached at the end of 2016 is 95%. Main reason is: During 2016 EFSA changed significantly the way it manages web subscriptions. EFSA journal and Career have been setup on dedicated platforms, moving part of their subscribers (over 4000). Additionally we have changed supporting technology, which allows better tracking and analysis. With this we were also able to clean-up the list of subscribers, removing obsolete subscriptions.</i></p>
12	Impact score	😊	<p>Impact score of articles dedicated to EFSA is 15.5, aligned with target range 5-20. <i>Current forecast to be reached at the end of 2016 is 100%.</i></p>
13	Increase followers from social media platforms	😊	<p>Nr. of followers from social media platforms (Twitter, LinkedIn, Youtube) at end of August is 37.213 above yearly target. <i>Current forecast to be reached at the end of 2016 is to exceed the yearly target (36.000 followers instead of 27.730 followers initially planned).</i></p>
14	Number of SNEs	☹️	<p>11 SNEs were present at the end of October representing 73% of the target (15 SNEs) <i>Current forecast to be reached at the end of 2016 is 67% of the yearly target (10 vs 15). Action plan: New call to be published by year end with updated rules and dissemination in national authorities with the support of Advisory Forum/Focal Points.</i></p>
15	Occupancy Rate	😊	<p>Average Statutory staff occupancy rate is 95.1% slightly above the 95% yearly target. <i>Current forecast to be reached at the end of 2016 is to exceed the yearly target (95.4% vs 95%).</i></p>

Progress indicators: detailed tables

SCIENTIFIC ACTIVITIES

**Fig.1. Provision of scientific opinions and advice & risk assessment approaches (Activity 1)
01.01.2016 –31.10.2016 vs. Annual Target**

Provision of Scientific opinions and advice & risk assessment approaches (Activity 1) adopted
in JAN-OCT 2016

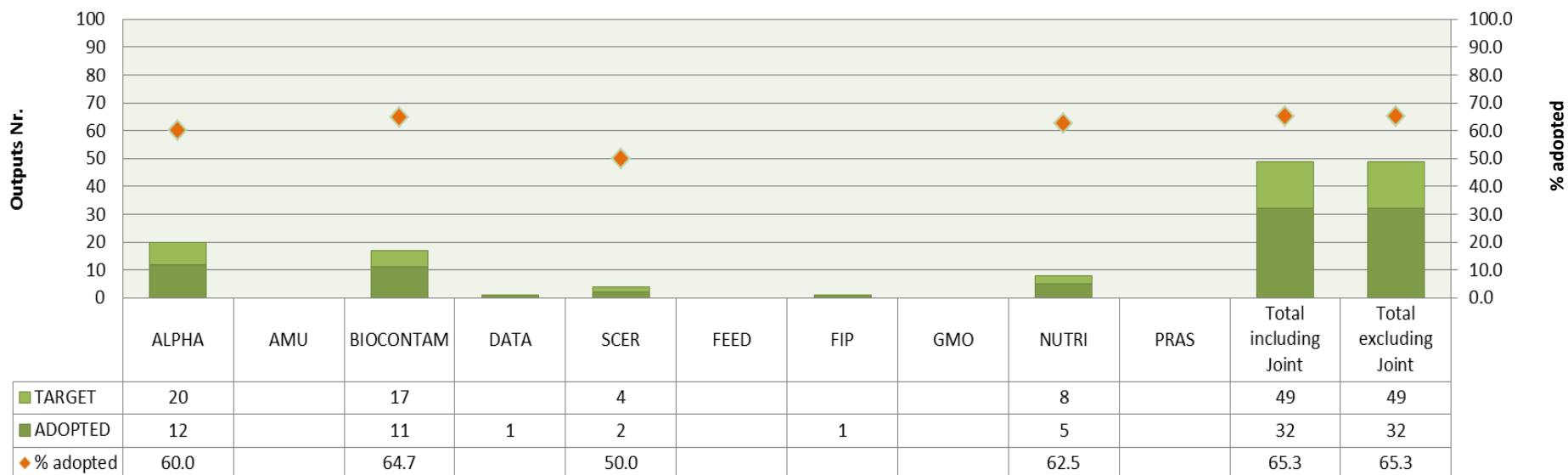


Fig. 2. Evaluation of products, substances and claims subject to authorisation (Activity 2), 01.01.2016 – 31.10.2016 vs. Annual Target

Evaluation of products, substances and claims subject to authorisation (Activity 2)
adopted in JAN-OCT 2016

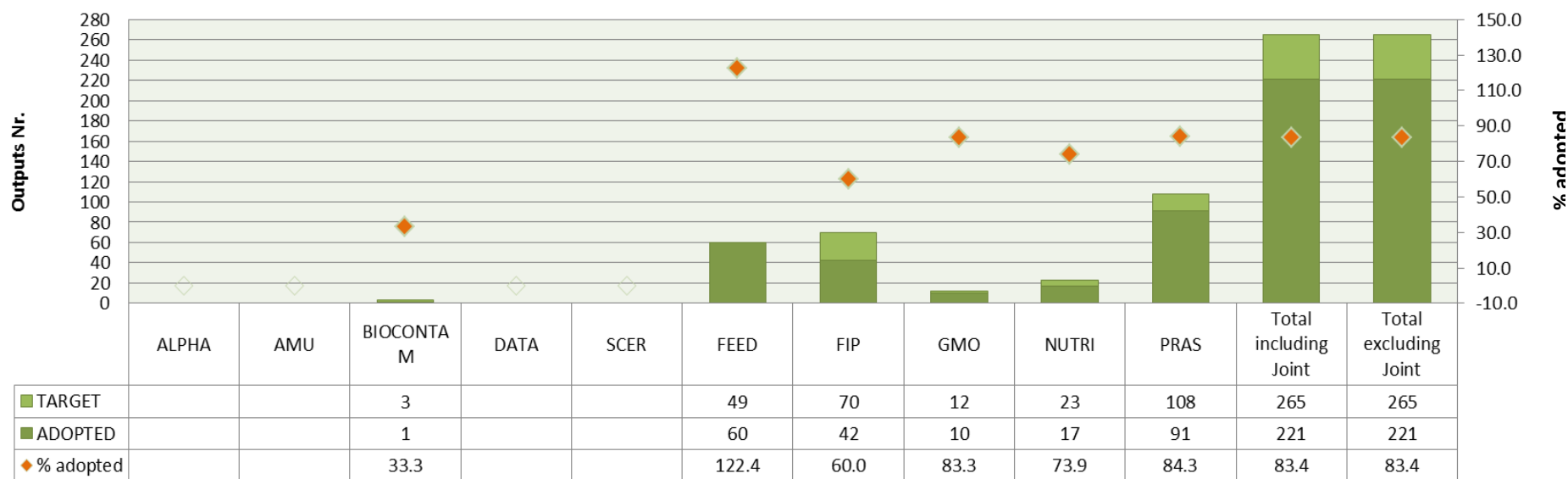


Fig. 3. Data Collection, Scientific Cooperation and Networking (Activity 3) 01.01.2016 – 31.10.2016 vs. Annual Target

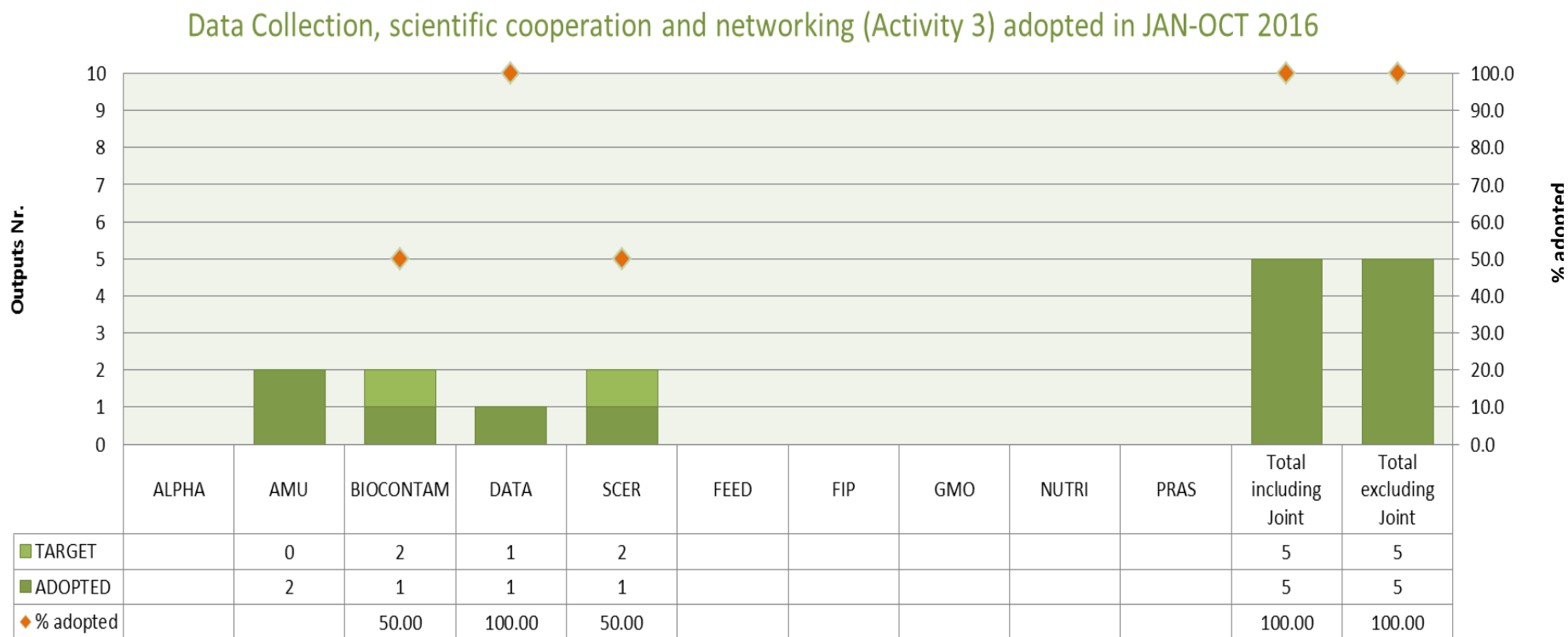
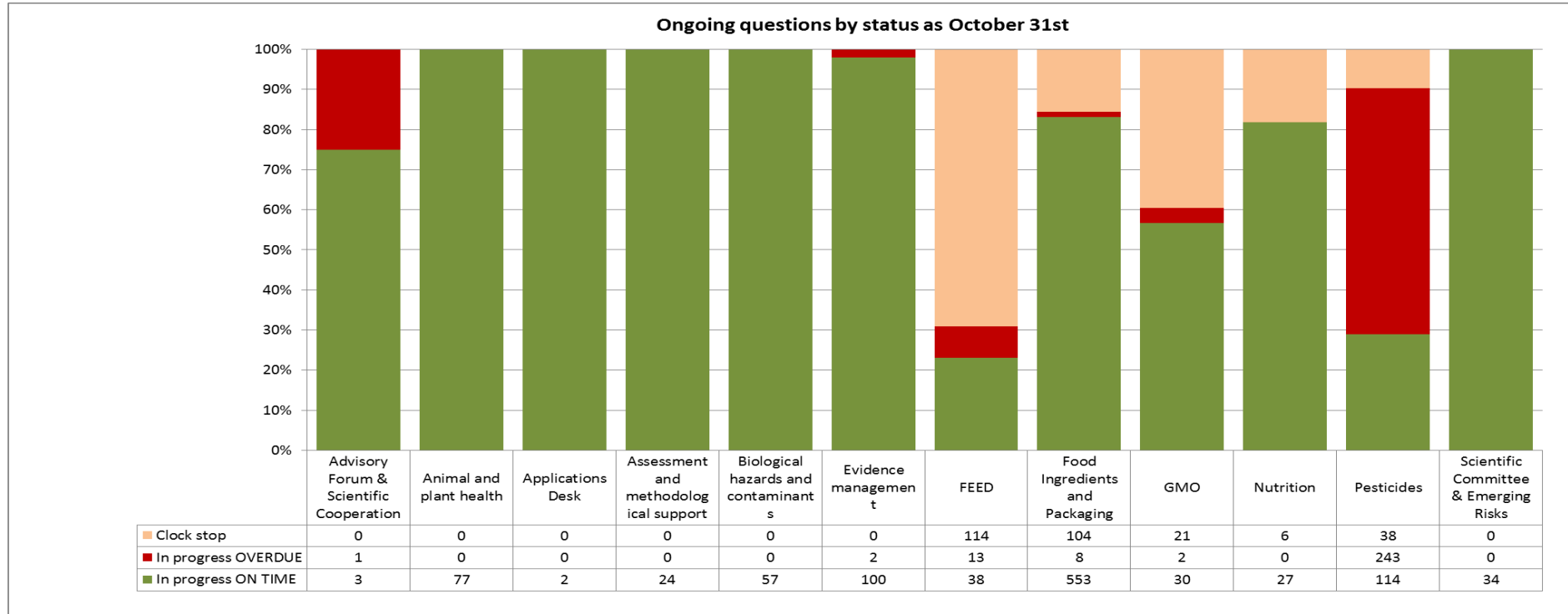


Fig. 4. Ongoing questions¹ by status

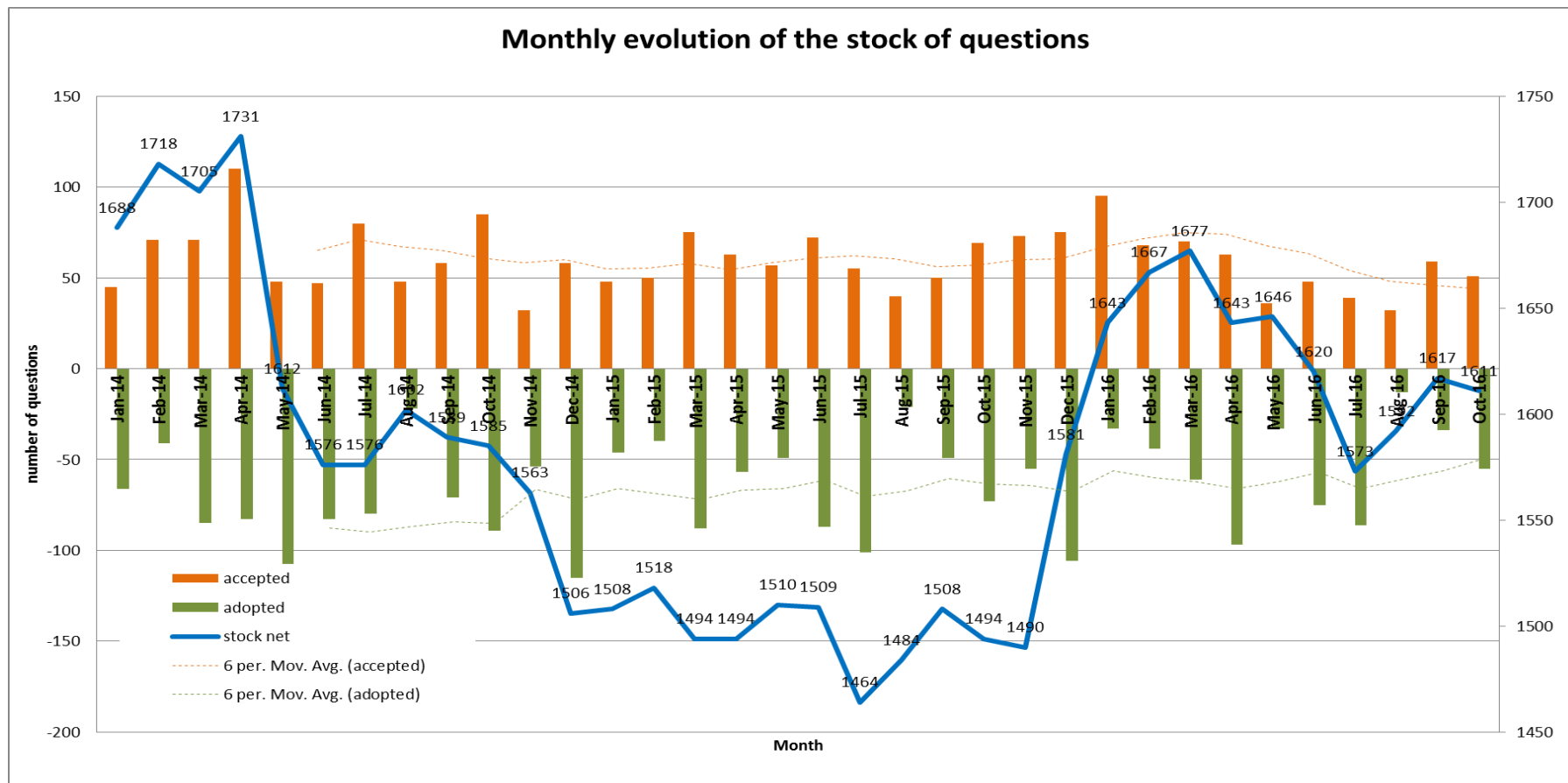


At end of October, 266 questions (linked to the adoption of EFSA Scientific Outputs) were overdue, -2% compared to August 2016 (271 questions) and -5% compared to December 2015 (280 questions).

Questions in clock stop status stand at 283 as of October with a decrease (-6%) compared to August 2016 (302 questions) and a decrease (-32%) compared to December 2015 (415 questions).

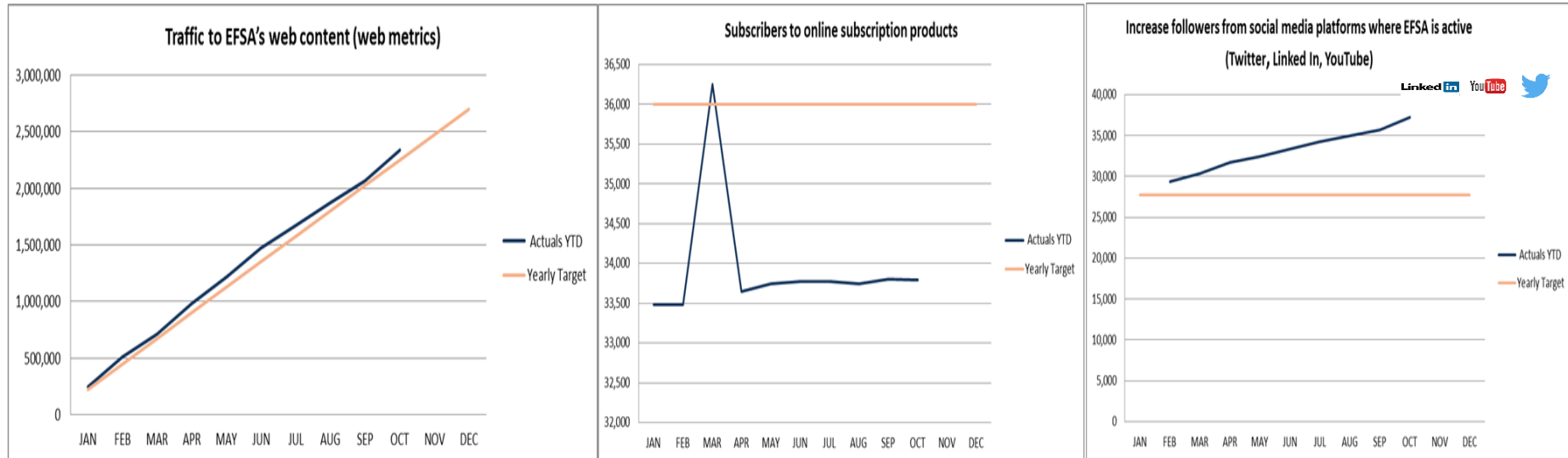
¹On-going questions excluding the over 1500 questions under consideration referring to health claims pursuant to article 13 of Regulation 1924/2006 on nutrition and health claims made on food.

Fig. 5. Monthly evolution of the stock of questions



The stock of questions in the Register of Question system (RAW) at end of October 2016 is 1611, +8% compared to October 2015 (1494), mainly related to Enzymes applications and in the Pesticides/MRLs area.

Fig. 6. Evolution of selected Communication KPI



Annex I

EFSA pending litigation Cases

CASES BEFORE THE COURT OF JUSTICE OF THE EU	PARTIES	ISSUES	STARTING DATE OF THE PROCEEDINGS	STATUS
C-134/16 P(R) and C-134/16 P(R)-R	Chemtura Netherlands BV v EFSA	Request for Interim measures and Appeal against Order of the General Court in Case T-725/15R	11/03/2016	Written phase
CASES BEFORE THE GENERAL COURT	PARTIES	ISSUES	STARTING DATE OF THE PROCEEDINGS	STATUS
T-725/15	Chemtura Netherlands BV v EFSA	Action for annulment against EFSA's decision to publish on its website its conclusions on pesticides active substance Diflubenzuron and to reject certain confidentiality claims submitted by the applicant	11/12/2015	Written phase - closed
T-716/14	Tweedale v EFSA	Action for annulment against EFSA's confirmatory decision of 30 July 2014 refusing access to glyphosate studies	09/10/2014	Written phase – proceedings stayed waiting for judgements in Cases C-673/13 P & C-442/14 to be delivered on 23/11/2016
T-493/14R & T-493/14	Mayer v EFSA	Interim measures - Staff Regulations and rules applicable to SNEs	30/06/2014	Oral phase – closed Pending judgement
T-177/13	TestBioTech and Others v Commission	EFSA's Intervention in Actions for annulment against Commission Decision of 8 January 2013, rejecting the applicants' requests for Internal Review of the Commission Decision No 2012/347/EU of 28 June 2012, granting a market authorisation under Regulation No 1829/2003 to Monsanto for its GM soybean "MON 87701 x MON 89788"	18/03/2013	Judgement to be delivered on 15/12/2016
T-451/13	Syngenta Crop Protection and Others v Commission	EFSA's support to the Commission: Actions for annulment against Commission Regulation	14/08/2013	Written phase - closed

(EC)485/2013 on the ban of thiametoxam				
ITALIAN CASES	PARTIES	ISSUES	STARTING DATE	STATUS
Administrative Tribunal of Lazio	Consumers Association Codacons v Italian Ministry of Health, EFSA et al.	Complaint to have the partial refusal to implement the use of palm oil in Italy by Italian Ministry of Health annulled on various grounds	21/09/2016	Written phase

Annex II

EFSA 2016 budget execution and transfers

As of 31st of October 2016,

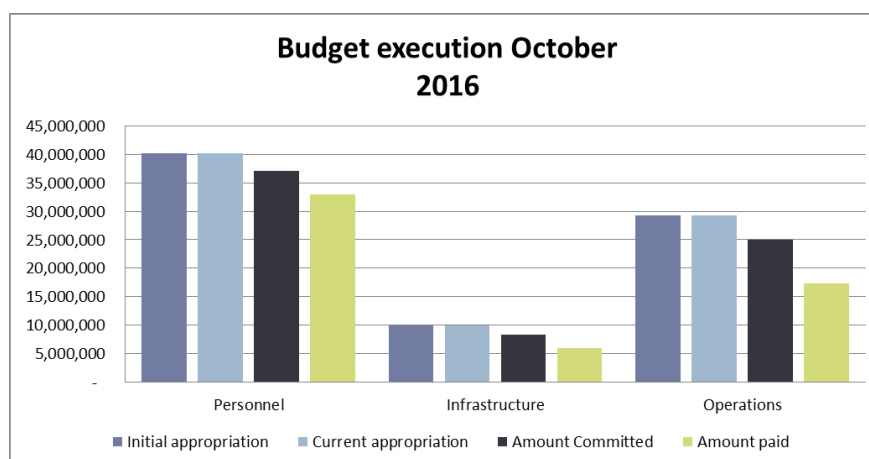
EUR 70.33 million or **88.6%** of the EUR 79.49 million budget was committed covering mainly staff salaries and allowances expenditure (EUR 33.80 million) under Title I, building (EUR 4.83 million) and IT support (EUR 3.24 million) under Title II, Scientific meetings (EUR 8.33 million) and Scientific Cooperation (EUR 7.41M) under Title III.

EUR 56.05 million or **70.7%** of the EUR 79.32 million payment appropriations was paid of which EUR 30.40 million represented staff salaries and allowances (Title I), Building cost (EUR 3.36 million) and IT support (EUR 2.33 million), Under Title III, the main drivers were Scientific meetings (EUR 6.31 million) and Scientific Cooperation (EUR 6.52 million).

The Management Board approved on its meeting in October the amendment of the Authority's 2016 budget including into it the bank interest of 79k. These earmarked revenues will be used for acquisition of the building.

The current forecast is to reach our commitment targets and arrive near, if not reach, our payment targets at year end.

Title	Initial appropriation	Current appropriation	Δ	Amount Committed	%	Payment appropriation	Amount paid	%
Personnel (T1)	40,244,000	40,244,000	-	37,036,078	92.0%	40,244,000	32,913,772	81.8%
Infrastructure (T2)	9,915,666	9,994,611	78,945	8,336,062	84.1%	9,994,611	5,878,659	58.8%
Operations (T3)	29,254,334	29,254,334	-	24,959,380	85.3%	29,080,391	17,254,836	59.3%
Total:	79,414,000	79,492,945	-	70,331,519	88.6%	79,319,002	56,047,267	70.7%

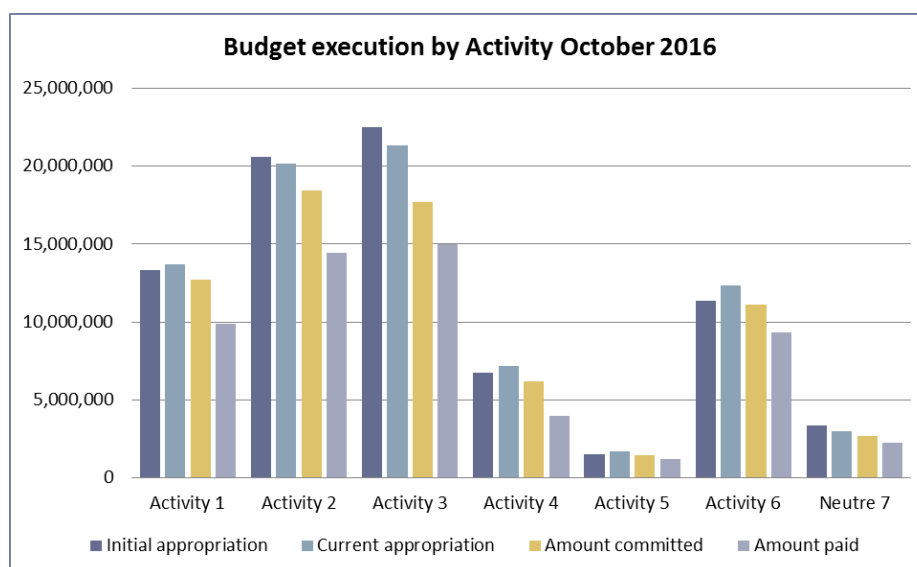


Execution by activity

The following table and chart report the budget appropriations and executions along with the four operational activities and governance, administration and financial management functions expressed in activities:

- Activity 1. Provision of scientific opinions and advice and risk assessment approaches
- Activity 2. Evaluation of products, substances and claims subject to authorisation
- Activity 3. Data collection, scientific cooperation and networking
- Activity 4. Communication and dialogue
- Activity 5. Coordination
- Activity 6. Administration
- Neutre 7. Financial management/Quality/Linguistic

In EUR	Initial appropriation	Current appropriation	D	Amount committed	%	Payment appropriation	Amount paid	%
Activity 1	13,330,777	13,723,947	393,170	12,726,851	92.7%	13,723,947	9,908,250	72.2%
Activity 2	20,568,398	20,191,019	-377,379	18,443,542	91.3%	20,191,019	14,419,806	71.4%
Activity 3	22,534,092	21,327,003	-1,207,089	17,723,396	83.1%	21,153,060	14,968,319	70.8%
Activity 4	6,739,748	7,178,767	439,018	6,189,590	86.2%	7,178,767	3,944,694	54.9%
Activity 5	1,528,504	1,720,750	192,246	1,469,039	85.4%	1,720,750	1,219,508	70.9%
Activity 6	11,382,292	12,348,198	965,906	11,091,841	89.8%	12,348,198	9,332,701	75.6%
Neutre 7	3,330,189	3,003,261	-326,928	2,687,260	89.5%	3,003,261	2,253,988	75.1%
Total:	79,414,000	79,492,945	78,945	70,331,519	88.5%	79,319,002	56,047,267	70.7%



Comparison to previous year

Compared to the same month of previous year, commitments overall have increased by EUR 2.80 million, or a 4.1% increase.

Title	Commitments October 2016	Commitments October 2015	Increase	Payments October 2016	Payments October 2015	Increase
Personnel	37,036,078	36,101,473	2.6%	32,913,772	31,547,328	4.3%
Infrastructure	8,336,062	8,286,541	0.6%	5,878,659	5,556,433	5.8%
Operations	24,959,380	23,147,350	7.8%	17,254,836	16,899,061	2.1%
Total:	70,331,519	67,535,364	4.1%	56,047,267	54,002,822	3.8%

In the Title I, the moderate increase in commitment appropriations (EUR 0.94 million) was mainly due to the Contract agents and Interim services budget.

In general, Title II is in line with the 2015 execution. Compared to the last year, higher execution can be observed in the Building cost (EUR 0.4 million) while a decrease can be observed in all the other types of expenditures such as IT

purchase and maintenance, movable property, administrative and telecommunication expenditure.

The highest growth can be observed in Title III, mainly due to Scientific cooperation (EUR 2.1 million higher than in October 2015) and to a lesser extent in Scientific meetings (EUR 0.21 million) and Operational development (EUR 0.66 million). The year on year execution of IT Operational support has decreased by EUR 2.98 million.

Compared to October 2015, the global payment execution is higher by EUR 2.4 million, mainly in Title I (following the commitment execution development).

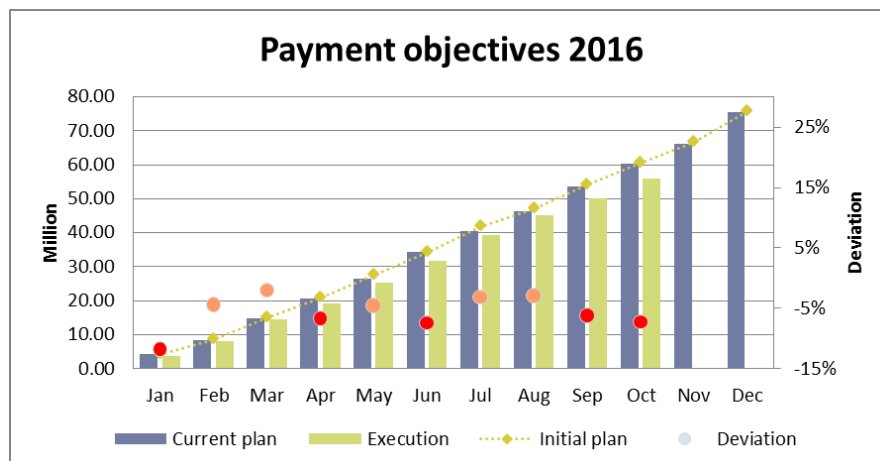
Budget Execution versus monthly plan

The October Balanced Scorecard indicates the deviation in overall commitments/payments from the monthly target at -0.6 (EUR 2.17 million)/ - 7.3% respectively, despite the abovementioned total increase compared to the previous year, mainly due to more ambitious target setting.

For commitments negative deviations occur in all departments, with exception of COMMS, where the commitment execution was 30% above the October plan. REPRO execution was 4.5%, RASA 1.1% and RESU 0.8% below the monthly plan.



Payments were 7.3% below the monthly plan as shown in the graph below. A positive deviation was observed for Title III RASA (5%) while all remaining departments were below the monthly payment plan: COMMS (-48.7%), RESU (-8.5%) and REPRO (-8.5%).



Transversal actions

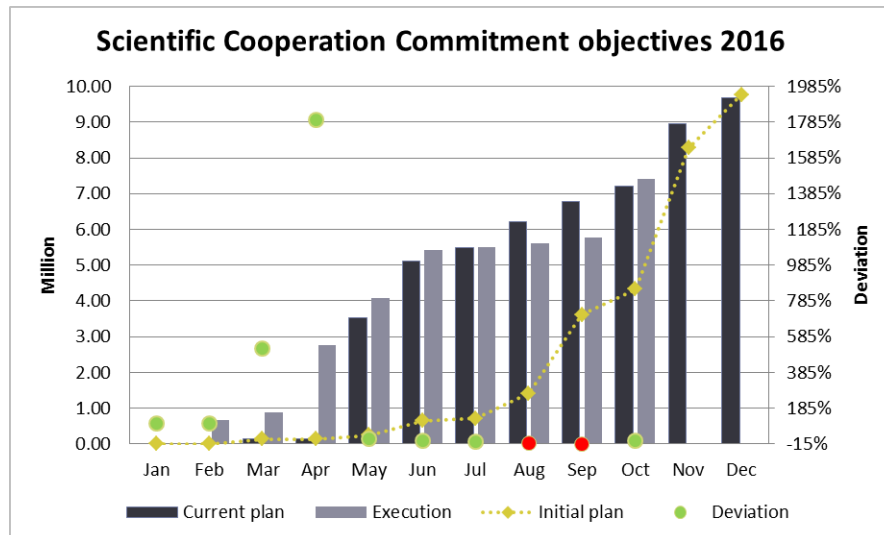
As in the previous months, Scientific Meetings (EUR 8.34 million), Scientific Cooperation (EUR 7.41 million) and IT cost (EUR 7.03 million) are the main drivers of transversal actions commitment execution. The highest commitment rate reached in Scientific Meetings (97.6% of the available commitment appropriations) followed by Conference and Events (90.4% of available appropriations), and IT cost (79.7%). Communication has executed 62.8% of its commitment appropriations and 11.2% of the total payment appropriations. COMMS released part of its budgetary resources during the last Request for Change exercise (RFC).

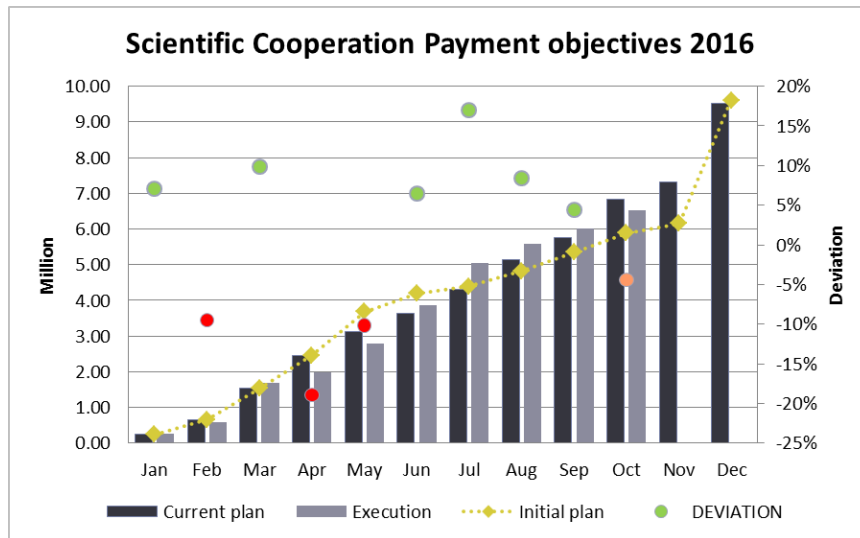
Description Actions	Initial CA	Current CA	Δ	Amount Committed	%	Initial PA	Current PA	Δ	Amount Paid	%
Science meetings	8,295,289	8,541,464	3.0%	8,335,297	97.6%	8,295,289	8,541,464	3.0%	6,307,234	73.8%
Scientific Cooperation	9,773,300	9,688,650	-0.9%	7,411,602	76.5%	9,599,357	9,514,707	-0.9%	6,520,246	68.5%
IT Development / Maintenance	9,042,967	8,821,179	-2.5%	7,031,762	79.7%	9,042,967	8,821,179	-2.5%	3,620,846	41.0%
Communication	1,495,000	1,391,176	-6.9%	874,066	62.8%	1,495,000	1,391,176	-6.9%	155,172	11.2%
Conference & Events	970,550	873,070	-10.0%	789,187	90.4%	970,550	873,070	-10.0%	534,840	61.3%

CA = commitment appropriation, PA = payment appropriation

Scientific Cooperation programme

The 2016 Scientific Cooperation programme amounts EUR 9.69 million in commitments and EUR 9.52 million in payments. At the end of October, EUR 7.41 million was committed (3% above the monthly plan) and 6.52 million EUR was paid (4% below the monthly plan).





Transfers

As of 28th November 2016, two additional transfers have been signed by Executive Director, one on 18th October and a second one on 25th November 2016.

Under Title I, there was a net influx of transfers from Title II; the additional requests were mainly concentrated on covering the increase of the basic salaries and related budget lines, with releases also within the Title from trainees, allowances, trainings, visiting experts, missions and catering.

Under Title II, there was a net transfer-out of resources² to Title I; during the November transfer, EUR 0.389 million have been transferred from Title II to Title I in order to cover the remaining shortfall that was not possible to cover within the same title. Releases came mainly from Building cost, Software purchase and maintenance and telecommunications, while shortfalls within the Title were also covered mainly for the purchase and maintenance of IT and telecommunications equipment and for security related building modifications.

Under Title III, the transfers were accommodated within the Title. Overall there was a net release under the non-differentiated credits, mainly from the risk communication, external relations and scientific meetings budget lines which were used to cover a net shortfall for operational development and control (expertise management programme) and for the differentiated credits scientific cooperation budget lines.

² Respecting the 10% limit of appropriations up to which the Executive Director may decide on transfers from one Title to another.

Title	Budget Headings Transfers from year start	Commitment and payment appropriation 2016				
		Initial	18.10.2016	25.11.2016	Transfers total including RFC 3 and 4	B2016 Current
1	STAFF					
11	STAFF IN ACTIVE EMPLOYMENT					
110	Staff in active employment					
1100	Basic salary	21,255,000.00	150,624.00	448,000.00	998,596.00	22,253,596.00
1101	Family allowance	2,950,000.00	-5,000.00	-17,000.00	-22,000.00	2,928,000.00
1102	Transfer and expatriation allowance	2,479,000.00	-25,000.00	22,000.00	-53,000.00	2,426,000.00
1103	Secretarial allowance	15,000.00	0.00	-3,000.00	-3,000.00	12,000.00
	tot. of 110	26,699,000.00	120,624.00	450,000.00	920,596.00	27,619,596.00
111	Other staff					
1113	Stagiaires	716,000.00	-75,000.00	-10,000.00	-175,000.00	541,000.00
1115	Contract staff	5,555,000.00	0.00	127,000.00	27,000.00	5,582,000.00
	tot. of 111	6,271,000.00	-75,000.00	117,000.00	-148,000.00	6,123,000.00
113	Employer's social security contributions					
1130	Insurance against sickness	770,000.00	20,000.00	7,000.00	27,000.00	797,000.00
1131	Insurance against accidents and occupational disease	113,000.00	3,000.00	3,000.00	6,000.00	119,000.00
1132	Unemployment insurance for temporary staff	278,000.00	15,000.00	2,000.00	17,000.00	295,000.00
1133	Establishment or maintenance of pension rights for temporary staff	0.00	0.00	0.00	0.00	0.00
	tot. of 113	1,161,000.00	38,000.00	12,000.00	50,000.00	1,211,000.00
114	Miscellaneous allowances and grants					
1140	Birth and death allowance	5,000.00	0.00	-3,000.00	-3,000.00	2,000.00
1141	Annual leave travelling expenses	306,000.00	8,000.00	-4,000.00	-26,000.00	280,000.00
1147	Call on duties	70,000.00	1,000.00	-3,000.00	-2,000.00	68,000.00
1149	Other allowances and repayments	55,000.00	-10,000.00	0.00	-40,000.00	15,000.00
	tot. of 114	436,000.00	-1,000.00	-10,000.00	-71,000.00	365,000.00
117	Supplementary services					
1171	Translation and interpretation	60,000.00	0.00	0.00	-10,000.00	50,000.00
1172	Payment for administrative assistance from the Community institutions	266,000.00	0.00	-4,000.00	-19,000.00	247,000.00
1175	Interim services	672,000.00	60,000.00	-3,828.96	518,171.04	1,190,171.04
1176	Consultancy	562,000.00	26,921.00	-25,581.00	-416,632.00	145,368.00
1177	Other services	160,000.00	-1,785.00	-6,060.00	-3,845.00	156,155.00
	tot. of 117	1,720,000.00	85,136.00	-39,469.96	68,694.04	1,788,694.04
118	Recruitment costs and expenses on entering and leaving the service					
1180	Miscellaneous expenditure on recruitment	142,000.00	0.00	-5,000.00	-5,000.00	137,000.00
1181	Travel expenses (including for members of the family)	10,000.00	3,000.00	-1,000.00	2,000.00	12,000.00
1182	Installation, resettlement and transfer allowances	180,000.00	15,000.00	-10,000.00	5,000.00	185,000.00
1183	Removal expenses	100,000.00	20,000.00	-20,711.51	-711.51	99,288.49
1184	Temporary daily subsistence allowance	67,000.00	-10,000.00	-5,000.00	-15,000.00	52,000.00

Title	Budget Headings Transfers from year start	Commitment and payment appropriation 2016				
		Initial	18.10.2016	25.11.2016	Transfers total including RFC 3 and 4	B2016 Current
	tot. of 118	499,000.00	28,000.00	-41,711.51	-13,711.51	485,288.49

119	Salary weightings					
1190	Salary weightings	120,000.00	-4,900.00	-94.43	-119,994.43	5.57
	tot. of 119	120,000.00	-4,900.00	-94.43	-119,994.43	5.57
	tot. of 11	36,906,000.00	190,860.00	487,724.10	686,584.10	37,592,584.10

13	MISSIONS AND DUTY TRAVEL
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130	Mission and travel expenses					
1300	Mission and travel expenses	170,000.00	-20,000.00	-20,000.00	-10,000.00	160,000.00
1301	Shuttles for missions and duty	65,000.00	2,000.00	0.00	7,000.00	72,000.00
	tot. of 130	235,000.00	-18,000.00	-20,000.00	-3,000.00	232,000.00
	tot. of 13	235,000.00	-18,000.00	-20,000.00	-3,000.00	232,000.00

14	SOCIOMEDICAL INFRASTRUCTURE
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140	Restaurants, meals and canteens					
1400	Restaurants, meals and canteens	58,000.00	-5,000.00	-2,750.00	-7,750.00	50,250.00
	tot. of 140	58,000.00	-5,000.00	-2,750.00	-7,750.00	50,250.00

141	Medical service					
1410	Medical service	230,000.00	0.00	0.00	30,000.00	260,000.00
	tot. of 141	230,000.00	0.00	0.00	30,000.00	260,000.00

142	Further training, language courses and retraining for staff					
1420	Further training, language courses and retraining for staff	850,000.00	-124,860.00	-40,000.00	-264,860.00	585,140.00
	tot. of 142	850,000.00	-124,860.00	-40,000.00	-264,860.00	585,140.00
	tot. of 14	1,138,000.00	-129,860.00	-42,750.00	-242,610.00	895,390.00

15	EXCHANGE OF OFFICIALS AND EXPERTS
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152	Exchange of officials and experts					
1520	Visiting experts, National Experts on Detachement	850,000.00	-55,000.00	-20,000.00	-185,000.00	665,000.00
1521	Authority officials temporarily assigned to national civil services, to international organisations or to public or private institutions	0.00	0.00	0.00	0.00	0.00
	tot. of 152	850,000.00	-55,000.00	-20,000.00	-185,000.00	665,000.00
	tot. of 15	850,000.00	-55,000.00	-20,000.00	-185,000.00	665,000.00

16	SOCIAL WELFARE
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160	Special assistance grants					
1600	Special assistance grants	0.00	0.00	0.00	0.00	0.00
	tot. of 160	0.00	0.00	0.00	0.00	0.00

Title	Budget Headings Transfers from year start	Commitment and payment appropriation 2016				
		Initial	18.10.2016	25.11.2016	Transfers total including RFC 3 and 4	B2016 Current
161	Social contacts between staff					
1610	Social contacts between staff	50,000.00	12,000.00	-10,813.47	-23,813.47	26,186.53
	tot. of 161	50,000.00	12,000.00	-10,813.47	-23,813.47	26,186.53
162	Other interventions					
1620	Other interventions	0.00	0.00	0.00	0.00	0.00
	tot. of 162	0.00	0.00	0.00	0.00	0.00
163	Early childhood centres and other creches					
1630	Early childhood centres, other creches and EU school contribution	1,050,000.00	0.00	-228.16	161,771.84	1,211,771.84
	tot. of 163	1,050,000.00	0.00	-228.16	161,771.84	1,211,771.84
164	Complementary aid for the handicapped					
1640	Complementary aid for the handicapped	10,000.00	0.00	-5,000.00	-5,000.00	5,000.00
	tot. of 164	10,000.00	0.00	-5,000.00	-5,000.00	5,000.00
	tot. of 16	1,110,000.00	12,000.00	-16,041.63	132,958.37	1,242,958.37
17	RECEPTION AND ENTERTAINMENT EXPENSES					
170	Reception and entertainment expenses					
1700	Reception and entertainment expenses	5,000.00	0.00	0.00	0.00	5,000.00
	tot. of 170	5,000.00	0.00	0.00	0.00	5,000.00
	tot. of 17	5,000.00	0.00	0.00	0.00	5,000.00
	tot. of 1	40,244,000.00	0.00	388,932.47	388,932.47	40,632,932.47

Title Chapter Article Item	Budget Headings Transfers from year start	B 2016 Commitment & Payments				
		Initial	18.10.2016	25.11.2016	Transfers total	B2016 Current
2	BUILDINGS, EQUIPMENT AND MISCELLANEOUS OPERATING EXPENDITURE LINKED TO THE AUTHORITY					
20	INVESTMENTS IN IMMOVABLE PROPERTY, RENTAL OF BUILDING AND ASSOCIATED COSTS					
200	Building					
2000	Rent	15,000.00	-14,500.00	-1,500.00	17,000.00	32,000.00
2001	Acquisition*	1,998,944.73	0.00	-24,502.59	-24,502.59	1,974,442.14
	tot. of 200	2,013,944.73	-14,500.00	-26,002.59	-7,502.59	2,006,442.14
201	Insurance					
2010	Insurance	37,200.00	-1,930.00	0.00	-6,930.00	30,270.00
	tot. of 201	37,200.00	-1,930.00	0.00	-6,930.00	30,270.00
202	Water, gas, electricity and heating					
2020	Water, gas, electricity and heating	555,500.00	-5,500.00	-55,550.00	-86,050.00	469,450.00
	tot. of 202	555,500.00	-5,500.00	-55,550.00	-86,050.00	469,450.00
203	Cleaning and maintenance					
2030	Maintenance	347,000.00	-14,700.00	-13,500.00	-28,200.00	318,800.00
2031	Cleaning	293,200.00	-5,000.00	-4,000.00	-19,000.00	274,200.00
	tot. of 203	640,200.00	-19,700.00	-17,500.00	-47,200.00	593,000.00
204	Refurbishment of premises/Fitting out					
2040	Refurbishment of premises/Fitting out	353,500.00	125,000.00	0.00	125,000.00	478,500.00
	tot. of 204	353,500.00	125,000.00	0.00	125,000.00	478,500.00
205	Security and surveillance of buildings					
2050	Security and surveillance of buildings	746,900.00	0.00	-27,000.00	-2,000.00	744,900.00
	tot. of 205	746,900.00	0.00	-27,000.00	-2,000.00	744,900.00
208	Preliminary expenditure relating to construction, acquisition or rental of immovable property					
2080	Preliminary to construction, acquisition or rental of immovable property	333,000.00	-21,700.00	-33,300.00	-65,000.00	268,000.00
	tot. of 208	333,000.00	-21,700.00	-33,300.00	-65,000.00	268,000.00
209	Other expenditure on buildings					
2090	Other expenditure on buildings	884,500.00	-29,085.00	-88,450.00	-140,000.00	744,500.00
	tot. of 209	884,500.00	-29,085.00	-88,450.00	-140,000.00	744,500.00
	tot. of 20	5,564,744.73	32,585.00	-247,802.59	-229,682.59	5,335,062.14

Title Chapter Article Item	Budget Headings Transfers from year start	B 2016 Commitment & Payments				
		Initial	18.10.2016	25.11.2016	Transfers total	B2016 Current
21	EXPENDITURE ON DATA PROCESSING					
210	Purchase and maintenance of IT for administration and non operational					
2100	Purchase/ maintenance of IT equipment	382,824.00	83,834.00	7,000.00	189,831.00	572,655.00
2101	Purchase / maintenance of software	489,657.00	0.00	-55,965.00	252,335.00	741,992.00
2103	Software development	1,508,291.00	-92,296.00	-21,521.00	-113,817.00	1,394,474.00
2104	User Support	842,000.00	0.00	-18,443.88	-65,275.88	776,724.12
	tot. of 210	3,222,772.00	-8,462.00	-88,929.88	263,073.12	3,485,845.12
	tot. of 21	3,222,772.00	-8,462.00	-88,929.88	263,073.12	3,485,845.12
22	MOVABLE PROPERTY AND ASSOCIATED COSTS					
220	Technical equipment and installations					
2200	Technical equipment and installations	40,000.00	0.00	0.00	-6,000.00	34,000.00
2201	Hire or leasing of technical equipment and installations	0.00	0.00	0.00	0.00	0.00
2202	Maintenance and repair of technical equipment and installations	0.00	0.00	0.00	0.00	0.00
	tot. of 220	40,000.00	0.00	0.00	-6,000.00	34,000.00
221	Furniture					
2210	Purchase of furniture	25,000.00	-9,000.00	-2,500.00	-16,500.00	8,500.00
2211	Hire of furniture	0.00	0.00	0.00	0.00	0.00
2212	Maintenance and repair of furniture	0.00	0.00	0.00	0.00	0.00
	tot. of 221	25,000.00	-9,000.00	-2,500.00	-16,500.00	8,500.00
	tot. of 22	65,000.00	-9,000.00	-2,500.00	-22,500.00	42,500.00
23	CURRENT ADMINISTRATIVE EXPENDITURE					
230	Stationery and office supplies					
2300	Stationery and office supplies	102,000.00	-9,800.00	-10,200.00	-50,000.00	52,000.00
	tot. of 230	102,000.00	-9,800.00	-10,200.00	-50,000.00	52,000.00
232	Financial charges					
2320	Bank charges	2,000.00	-500.00	-200.00	-1,700.00	300.00
2321	Other financial charges	0.00	0.00	0.00	0.00	0.00
	tot. of 232	2,000.00	-500.00	-200.00	-1,700.00	300.00
233	Legal expenses					
2330	Legal expenses	235,000.00	-1,500.00	0.00	-41,500.00	193,500.00
	tot. of 233	235,000.00	-1,500.00	0.00	-41,500.00	193,500.00
234	Damages					

Title Chapter Article Item	Budget Headings Transfers from year start	B 2016 Commitment & Payments				
		Initial	18.10.2016	25.11.2016	Transfers total	B2016 Current
2340	Damages	0.00	0.00	0.00	0.00	0.00
	tot. of 234	0.00	0.00	0.00	0.00	0.00
235	Other operating expenditure					
2350	Miscellaneous insurance	11,000.00	500.00	0.00	500.00	11,500.00
2353	Removals and associated handling	0.00	0.00	0.00	0.00	0.00
	tot. of 235	11,000.00	500.00	0.00	500.00	11,500.00
239	Publications					
2390	Publications	12,000.00	-5,000.00	-1,200.00	-8,200.00	3,800.00
	tot. of 239	12,000.00	-5,000.00	-1,200.00	-8,200.00	3,800.00
	tot. of 23	362,000.00	-16,300.00	-11,600.00	-100,900.00	261,100.00
24	POSTAL CHARGES AND TELECOMMUNICATIONS					
240	Postal charges					
2400	Postal charges	46,000.00	-7,000.00	-4,600.00	-21,600.00	24,400.00
	tot. of 240	46,000.00	-7,000.00	-4,600.00	-21,600.00	24,400.00
241	Telecommunications					
2410	Telecommunications subscriptions and charges	335,000.00	-35,972.00	-33,500.00	-139,472.00	195,528.00
2411	Purchase and installation of equipment	297,000.00	44,149.00	0.00	-137,851.00	159,149.00
	tot. of 241	632,000.00	8,177.00	-33,500.00	-277,323.00	354,677.00
	tot. of 24	678,000.00	1,177.00	-38,100.00	-298,923.00	379,077.00
25	GOVERNANCE EXPENDITURE					
250	Governance expenditure					
2500	Management Board meetings	102,094.00	0.00	0.00	0.00	102,094.00
	tot. of 250	102,094.00	0.00	0.00	0.00	102,094.00
	tot. of 25	102,094.00	0.00	0.00	0.00	102,094.00
	tot. of 2	9,994,610.73	0.00	-388,932.47	-388,932.47	9,605,678.26

Title Chapter Article Item	Budget Headings Transfers from year start	B 2016 Commitments					B 2016 Payments				
		Initial	18.10.2016	25.11.2016	Transfers TOTAL	B2016 Current	Initial	18.10.2016	25.11.2016	Transfers TOTAL	B2016 Current
3	OPERATING EXPENDITURE LINKED TO THE AUTHORITY										
30	SCIENTIFIC EVALUATION of REGULATED PRODUCTS										
301	REPRO Grants & Procurement										
3010	REPRO Grants & Procurement	1,911,000.00	0.00	- 158,242.00	-158,242.00	1,752,758.00	2,356,200.00	0.00	-617,156.80	-790,527.45	1,565,672.55
	tot. of 301	1,911,000.00	0.00	- 158,242.00	-158,242.00	1,752,758.00	2,356,200.00	0.00	-617,156.80	-790,527.45	1,565,672.55
302	REPRO Experts meetings										
3020	REPRO Experts meetings	4,471,289.00	47,002.00	-35,596.00	11,406.00	4,482,695.00	4,471,289.00	47,002.00	-35,596.00	11,406.00	4,482,695.00
	tot. of 302	4,471,289.00	47,002.00	-35,596.00	11,406.00	4,482,695.00	4,471,289.00	47,002.00	-35,596.00	11,406.00	4,482,695.00
	tot. of 30	6,382,289.00	47,002.00	- 193,838.00	-146,836.00	6,235,453.00	6,827,489.00	47,002.00	-652,752.80	-779,121.45	6,048,367.55
31	RISK ASSESSMENT & SCIENTIFIC ASSISTANCE										
311	RASA Grants & Procurement										
3110	RASA Grants & Procurement	4,642,300.00	0.00	416,491.20	2,041,491.20	6,683,791.20	5,586,757.00	0.00	1,049,240.28	1,617,610.93	7,204,367.93
	tot. of 311	4,642,300.00	0.00	416,491.20	2,041,491.20	6,683,791.20	5,586,757.00	0.00	1,049,240.28	1,617,610.93	7,204,367.93

Title Chapter Article Item	Budget Headings Transfers from year start	B 2016 Commitments					B 2016 Payments				
		Initial	18.10.2016	25.11.2016	Transfers TOTAL	B2016 Current	Initial	18.10.2016	25.11.2016	Transfers TOTAL	B2016 Current
312	RASA Experts meetings										
3120	RASA Experts meetings	3,824,000.00	199,173.00	-56,616.60	142,556.40	3,966,556.40	3,824,000.00	199,173.00	-56,616.60	142,556.40	3,966,556.40
	tot. of 312	3,824,000.00	199,173.00	-56,616.60	142,556.40	3,966,556.40	3,824,000.00	199,173.00	-56,616.60	142,556.40	3,966,556.40
313	Crisis support										
3130	Crisis support	10,000.00	0.00	-5,000.00	-5,000.00	5,000.00	10,000.00	0.00	-5,000.00	-5,000.00	5,000.00
	tot. of 313	10,000.00	0.00	-5,000.00	-5,000.00	5,000.00	10,000.00	0.00	-5,000.00	-5,000.00	5,000.00
314	Quality Management										
3140	Quality Management	180,000.00	-25,273.00	-37,117.00	-62,390.00	117,610.00	180,000.00	-25,273.00	-37,117.00	-62,390.00	117,610.00
	tot. of 314	180,000.00	-25,273.00	-37,117.00	-62,390.00	117,610.00	180,000.00	-25,273.00	-37,117.00	-62,390.00	117,610.00
	tot. of 31	8,656,300.00	173,900.00	317,757.60	2,116,657.60	10,772,957.60	9,600,757.00	173,900.00	950,506.68	1,692,777.33	11,293,534.33
34	COMMUNICATIONS										
341	Risk Communication										
3410	Risk Communication	1,145,000.00	-	-	-197,228.00	947,772.00	1,145,000.00	-	-112,651.00	-197,228.00	947,772.00
	tot. of 341	1,145,000.00	-	-	-197,228.00	947,772.00	1,145,000.00	-	-112,651.00	-197,228.00	947,772.00
342	External relations										
3420	External relations	350,000.00	-	-	-159,153.00	190,847.00	350,000.00	-	-139,906.00	-159,153.00	190,847.00
	tot. of 342	350,000.00	-	-	-159,153.00	190,847.00	350,000.00	-	-139,906.00	-159,153.00	190,847.00
343	Cooperation with										

Title Chapter Article Item	Budget Headings Transfers from year start	B 2016 Commitments					B 2016 Payments				
		Initial	18.10.2016	25.11.2016	Transfers TOTAL	B2016 Current	Initial	18.10.2016	25.11.2016	Transfers TOTAL	B2016 Current
Member States											
3430	Scientific cooperation meetings	3,000.00	0.00	-1,699.60	-1,699.60	1,300.40	3,000.00	0.00	-1,699.60	-1,699.60	1,300.40
3431	Focal Point and Grant Agreements	3,220,000.00	0.00	10,435.00	-	1,520,785.00	1,656,400.00	0.00	-163,399.28	-643,049.28	1,013,350.72
	tot. of 343	3,223,000.00	0.00	8,735.40	-	1,522,085.40	1,659,400.00	0.00	-165,098.88	-644,748.88	1,014,651.12
344	Pre-accession and ENP Programmes										
3440	Pre-accession programme	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
3441	ENP (European Neighbourhood programme)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	tot. of 344	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
	tot. of 34	4,718,000.00	-	-	-	2,660,704.40	3,154,400.00	-	-417,655.88	-	2,153,270.12
35	HORIZONTAL OPERATIONS										
350	Operational IT Systems										
3500	Operational IT Systems	5,148,195.00	-28,318.00	3,306.00	-320,662.00	4,827,533.00	5,148,195.00	-28,318.00	3,306.00	-320,662.00	4,827,533.00
	tot. of 350	5,148,195.00	-28,318.00	3,306.00	-320,662.00	4,827,533.00	5,148,195.00	-28,318.00	3,306.00	-320,662.00	4,827,533.00
351	Operational support										
3511	Translation, Interpretation, Linguistic Proofreading and Editing	110,000.00	0.00	-25,000.00	65,000.00	175,000.00	110,000.00	0.00	-25,000.00	65,000.00	175,000.00

Title Chapter Article Item	Budget Headings Transfers from year start	B 2016 Commitments					B 2016 Payments				
		Initial	18.10.2016	25.11.2016	Transfers TOTAL	B2016 Current	Initial	18.10.2016	25.11.2016	Transfers TOTAL	B2016 Current
3512	Library	534,000.00	0.00	0.00	0.00	534,000.00	534,000.00	0.00	0.00	0.00	534,000.00
3513	Mission of staff related to operational duties	860,000.00	42,000.00	-31,000.00	30,720.00	890,720.00	860,000.00	42,000.00	-31,000.00	30,720.00	890,720.00
3514	Shuttles for experts and staff related to operational duties	1,020,000.00	0.00	-10,000.00	10,000.00	1,030,000.00	1,020,000.00	0.00	-10,000.00	10,000.00	1,030,000.00
	tot. of 351	2,524,000.00	42,000.00	-66,000.00	105,720.00	2,629,720.00	2,524,000.00	42,000.00	-66,000.00	105,720.00	2,629,720.00
352	Conferences & Outreach										
3520	Conferences and Outreach	970,550.00	-25,110.00	-37,404.00	-134,884.00	835,666.00	970,550.00	-25,110.00	-37,404.00	-134,884.00	835,666.00
	tot. of 352	970,550.00	-25,110.00	-37,404.00	-134,884.00	835,666.00	970,550.00	-25,110.00	-37,404.00	-134,884.00	835,666.00
353	Operational development & Control										
3530	Operational Development & Control	855,000.00	0.00	220,000.00	437,300.00	1,292,300.00	855,000.00	0.00	220,000.00	437,300.00	1,292,300.00
	tot. of 353	855,000.00	0.00	220,000.00	437,300.00	1,292,300.00	855,000.00	0.00	220,000.00	437,300.00	1,292,300.00
	tot. of 35	9,497,745.00	-11,428.00	119,902.00	87,474.00	9,585,219.00	9,497,745.00	-11,428.00	119,902.00	87,474.00	9,585,219.00
	tot. of 3	29,254,334.00	0.00	0.00	0.00	29,254,334.00	29,080,391.00	0.00	0.00	0.00	29,080,391.00

Note to the EFSA Management Board on the MRL work-plan and backlog reduction

Why is there a backlog on MRL Reasoned opinions?

EFSA Reasoned opinions on Maximum Residue Levels (MRL) of pesticides cover three complementary processes under Regulation 396/2005:

- MRL applications under Art. 10 (new applications received monthly),
- MRL reviews under Art. 12 (review of existing MRLs following an European Commission decision on approval or non-approval of the pesticide),
- MRL ad-hoc opinions under Art. 43.

Each has a specific process and deadlines (three months for Art. 10 applications, one year for Art. 12 and a negotiated deadline, usually urgent and with high priority, for Art. 43).

The backlog is the result of the large amount of received requests, which largely exceeded EFSA's capacity to process them within the legal timeframe. Between 2009 and 2010, EFSA received over 400 requests for MRL Art. 12 reviews. In 2012, EFSA received a number of MRL Art. 10 higher than planned.

In addition, every year EFSA receives requests under Art. 43, most of which need to be treated with high priority. This creates additional complexity regarding the planning. The two requests on dimethoate in 2016 are just an example.

Which actions have been implemented?

EFSA in agreement with the European Commission and in close cooperation with Member States, has implemented several actions to reduce the backlog. Among these, EFSA has considered to outsource some tasks, but since these are part of EFSA's core business, limitations arose and outsourcing was eventually considered not satisfactorily efficient. The current approach is a combination of seeking efficiency gains complemented with the recruitment of additional staff (6 short-term not renewable Contract Agents for the period 2015-2019).

The efficiency gains include:

- New process for cooperation with MSs (under implementation),
- Reorganisation within the responsible unit (PRAS): planning, monitoring and process coordination transferred to the PRAS Scientific Coordination team (implemented),
- New streamlined structure of reasoned opinions (implemented),
- Art. 12 MRL review for new active substances covered by the EFSA Conclusion on the peer review (implemented),
- Simplified procedures for cases not requiring full assessment, e.g. statements covering proposals for Annex IV inclusions (implemented).

What challenges are affecting the implementation?

Recruitment of short term contract agents has been slower than predicted. The low attractiveness of the contract characteristics (4-year contract non-renewable), has created difficulties, stretching the recruitment process over more than a year. A person is currently being recruited. Efforts are needed to train the newcomers individually, whilst a joint training would have resulted in a more efficient approach.

What is the current situation and expected progress?

In consideration of the resources available, the phase-out of the MRL backlog is expected to be completed by 2022. This is based on the planned evolution for the Art. 10 and Art. 12 questions as reported in the figures below. The figure "in progress" represents the applications transferred to the following year.

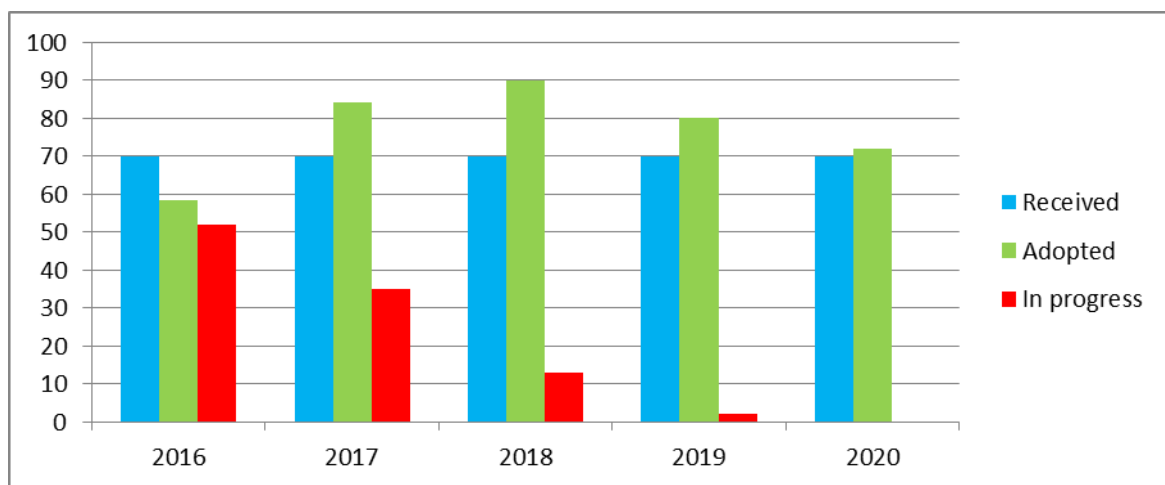


Figure 1. Expected evolution of Art. 10 MRL backlog

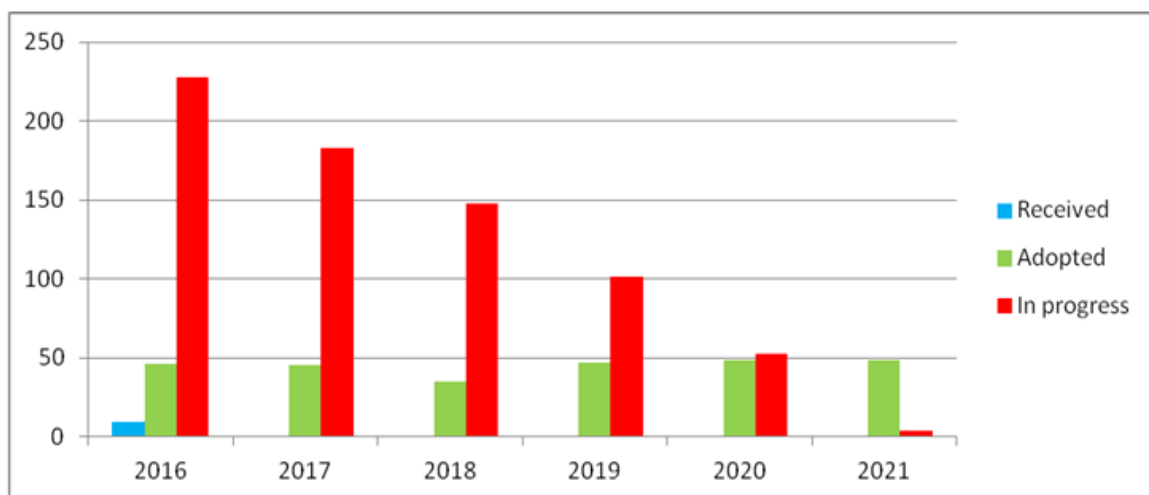


Figure 2. Expected evolution of Art. 12 MRL backlog

Table 1: Art. 10 MRL. Expected number of questions submitted/closed by year and FTEs³ of scientific staff directly allocated to assessing and drafting the MRLs

No of questions	2016	2017	2018	2019	2020
Received	70	70	70	70	70
Adopted	59	84	90	80	72
Withdrawn	3	3	2	1	0
In progress	52	35	13	2	0
No of FTE¹	4	4.5	4.5	3.5	3.5

Table 2: Art. 12 MRL. Expected number of closed questions per year and FTEs³ of scientific staff directly allocated to assessing and drafting the MRLs

No of questions	2016	2017	2018	2019	2020	2021
Received	9	0	0	0	0	0
Adopted	46	45	35	47	48	48
Withdrawn	0	0	0	0	0	0
In progress	228	183	148	101	53	4
No of FTE¹	4.5	5.4	6.4	6.4	6.4	6.4

Uncertainties related to the expected progress

Uncertainties associated with the predictions above are seen in the following areas:

- **Constraints in allocating the planned resources:** The Unit may have problems in allocating the planned resources due to other priorities⁴. In addition, low attractiveness of the short-term contracts increase the risk of early resigning of contracted staff.
- **Number of applications under Art. 10:** The number of applications is beyond EFSA's control. The prediction is based on 70 applications per year. A higher number would impact negatively on the backlog reduction.

³ Details on the estimations can be provided by the Pesticides Unit upon request. The No. of FTEs includes only the scientific staff allocated to the scientific coordination, assessment and drafting of the Reasoned Opinions and statements. FTEs for general scientific developments, guidance update and general support to EC, as well as supporting staff (managing the applications and the overall process, admin and technical support, etc.) and line managers are not included.

⁴ Requests under Art. 43 of Reg. 396/2006, requests Art. 21 of Reg. 1107/2009, assessment of residues in the context of Conclusions under the peer-review process, or other requests from SANTE with high priority may require the reallocation of staff from Art. 10 or Art. 12 to these activities.