# **Institutional Declaration of Interests**

**Declaration of Interest for participants to EFSA’s public procurement procedures**

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| Name of the entity |  |
| Reference of the call for tenders/proposals  *Ex. OC//EFSA/nn/20nn/nn* |  |
| Position in the offer | Leader  Partner  Subcontractor |

**General principles for the completion of the form:**

* **To ease completion, EFSA pre-filled the form with examples in red. All examples should be deleted when completing the form.**
* **Only interests that are currently ongoing as well as falling within the specific subject matter of the call are to be declared.**
* **Please fill in all fields. Where Yes or No answer is required, one of the tick boxes must be checked.**
* **Please note that this form must be completed separately and individually for the leader, for each possible partner in a joint offer, and each subcontractors, as indicated in the offer.**
* **Please note it is not for the concerned entity to decide whether an activity may be a source of potential conflict of interest or not. This is assessed exclusively by EFSA. Entities are required to submit true, accurate and up to date DoIs, providing a concise but exhaustive description of the type of interest or activity carried out.**

Legal Basis:

* Article 141 and 144 of Council Regulation (EU, EURATOM) No 2018/1046 of 18 July 2018 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 966/2012;
* Articles 15 and 16 of the EFSA Decision on Competing Interest Management implementing EFSA’s Policy on Independence;
* Tender stipulating that the contracts may not be awarded to and cannot be continued with tenderers who are subject of a conflict of interest.

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| 1. **DOES YOUR ORGANISATION HAVE ANY CURRENT FINANCIAL INVESTMENT?** | YES NO |
| Please indicate any investment such as economic stake or share in an entity **with an interest in the subject matter of the call**, including its stocks, equities or bonds, or of one of its subsidiaries or of a company in which it has a holding. Also, any substantial interests of the tenderers, proposed subcontractor(s) and partner(s) should be indicated. Financial instruments on which the entity has no influence are not to be considered relevant. |

**IF YES**, please specify as follows

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| Description of the investment | Entity on which the investment has been made  (name and location of the entity) | 1) Subject Matter (e.g. GMO, pesticides, feed, substances, products, guidance documents, policies, etc)  2) Whether your organisation has influence / control over the investment (e.g. “no control as it is a fund managed by a professional company with no possibility of deciding the fund’s strategy”; OR “complete control as this corresponds to ordinary shares the entity can sell or buy at will”)  3) Field of activity of the entity on which the investment has been made  4) How the activities relate to the subject matter of the call |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  AOAO has a financial investment of stocks for a value of 50.000 € | FLOWERS MINNIE, Brussels, Belgium | 1. The main remit of Flowers Minnie covers plant protection products 2. AOAO can sell the stocks any time and has full control on them. 3. Flowers Minnie is a company based in the NL whose scope is to produce plant protection products to be placed on the market after EU authorisation. 4. The call concerns the literature review related to the assessment of several plant protection products. |
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| 1. **IS YOUR ORGANISATION CURRENTLY A MEMBER OF A SCIENTIFIC ADVISORY ENTITY?** | YES NO |
| Please indicate any participation in the works of a scientific advisory entity **with an interest in the subject matter of the call** and other than those organised by EFSA (such as membership of Scientific Panels, Working Groups and Networks).  In the context of EFSA’s remit, **Risk management** means the process, distinct from risk assessment, of weighing policy alternatives in consultation with interested parties, considering risk assessment and other legitimate factors, and, if need be, selecting appropriate prevention and control options (see, Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety, as last amended). It includes the planning, implementation and evaluation of any resulting actions taken to protect consumers, animals and the environment. |

**IF YES**, please specify as follows:

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| Scientific Advisory Entity  (name, legal nature and location of the entity) | 1) Subject Matter (e.g. GMO, pesticides, feed, substances, products, guidance documents, policies, etc.)  2) Your organisation role, tasks and responsibilities  3)Activities of the Scientific Advisory entity  4) How the activities relate to the subject matter of the call | Does the Scientific Advisory Entity carry out Risk Management activities as described above? | Is your organisation empowered to validate or take Risk Management actions/decisions? | Does your organisation serve as a member of a regulatory committee advising on risk management matters on the same subject matter of the call? | Impact on annual earnings |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  Global Codex for pesticides surveillance, non-profit organisation- Brussels, Belgium | 1. The subject matter covered by the Codex is to collect data from countries on residues and environmental data. 2. AOAO is a member of the Codex contributing to the scientific assessment of the data and contributor of publications. 3. Meet ten times per year discussing scientific topics to provide the public in general environmental info. 4. Codex collects data and analyse data on pesticides. | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | YES NO | ☐YES ☐NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |

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| 1. **DOES YOUR ORGANISATION HAVE ANY CURRENT OCCASIONAL CONSULTANCY?** | YES NO |
| Please indicate any consultancy activity in which your organisation provides advice or services, paid or unpaid, to companies, trade associations or other bodies **with an interest in the subject matter of the call**.  In the context of EFSA’s remit, **Risk management** means the process, distinct from risk assessment, of weighing policy alternatives in consultation with interested parties, considering risk assessment and other legitimate factors, and, if need be, selecting appropriate prevention and control options (see, Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety, as last amended). It includes the planning, implementation and evaluation of any resulting actions taken to protect consumers, animals and the environment. |

**IF YES**, please specify as follows:

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| Entity  (name, legal nature and location of the entity) | 1) Subject Matter of what the consultancy is about (e.g. GMO, pesticides, feed, etc)  2) the nature of the deliverable (e.g. study report, assessment report, etc.) | Does the consultancy imply Risk Management activities as described above? | Is your organisation empowered to validate or take Risk Management actions/decisions? | Does your organisation serve as a member of a regulatory committee advising on risk management matters on the same subject matter of the call? | Impact on annual earnings |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  Plant Protection Inc, private organisation, Brussels, Belgium | 1. AOAO advises the Plant Protection Inc on some regulatory dossiers related to pesticides. 2. It is a paid consultancy with a risk assessment report as outcome. | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |

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| 1. **DOES YOUR ORGANISATION RECEIVE ANY CURRENT RESEARCH FUNDING?** | YES NO |
| Please indicate any funding for research or developmental work received from any public or private entity, **regarding the subject matter of the call.** Grants, rents and reimbursement of expenses, sponsorships and fellowships are to be declared. |

**IF YES**, please specify as follows

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| Type of research funding | Entity(ies) providing the research funding  (name, legal nature and location of the entity) | 1) Topic of the research activity  2) Nature of the associated output (e.g. study report, publication, etc.) |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  Grant | Horizon 2020, European Commission (public, Brussel) and the Organisation of plant protection producer (private, Brussel). | 1. Collecting and analysing historic data on pesticides residues in European Countries. 2. Deliver an online portal with historic data and relevant information for risk assessor and scientific community. |
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| **DOES THE RESEARCH (CO-)FUNDING RECEIVED FROM THE PRIVATE SECTOR EXCEED 25% OF THE TOTAL RESEARCH BUDGET THAT IS MANAGED BY YOUR ORGANISATION?**  (for the area under concern or that is otherwise benefiting your organisation) | YES NO |

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| 1. **DOES YOUR ORGANISATION HAVE ANY CURRENT INTELLECTUAL PROPERTY RIGHTS?** | YES NO |
| Please indicate any right **on the subject matter of the call** granted to tenderer or their proposed subcontractor(s) or consortium partner(s) that are the result of human intellectual creativity, such as trademarks or patents. Plain authorship and publications are not to be declared. |

**IF YES**, please specify as follows

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| Entity  (name, legal nature and location of the entity) | 1) Subject Matter of the IPR (e.g. GMO, pesticides, feed, etc)  2) The role of your organisation and if appropriate, tasks and responsibilities (e.g. patent holder, contributor, copyright holder etc.)  3) How the activities relate to the subject matter of the call | Impact on annual earnings |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  EUIPO (public EU institution, Alicante) | 1. AOAO holds a Patent on risk assessment methodology for plant protection products. 2. Co-author of the patent together with University of Cambridge. Annual fee received from the patent. 3. The patent deals with the risk assessment of plant protection products and the subject matter of the call concerns the literature review in the context of the assessment of plant protection products. | 0%  >0% but <5%  >5% but <25%  >25% |
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| 1. **DOES YOUR ORGANISATION CURRENTLY HOLD ANY OTHER MEMBERSHIP OR AFFILIATION?** | YES NO |
| Please indicate any residual membership or affiliation of the tenderer or their proposed subcontractor(s) or consortium partner(s) to any entity **with an interest in the subject matter of the call**, including professional organisations. |

**IF YES**, please specify as follows

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| Entity  (name, legal nature and location of the entity) | 1) Subject Matter (e.g. GMO, pesticides, feed, etc)  2) Your organisation role, tasks and responsibilities  3) Activities of the entity  4) How the activities relate to the subject matter of the call | Impact on annual earnings |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  International Association of Food producers, private association of food industry, Brussel, Belgium | 1. Representing the business interest of food producers. 2. AOAO pays an annual fee to be part of the organisation. The AOAO CEO (Mr White) is one of the Board Members of the association (mandate 2020-2025). 3. Conferences, lobbying, sharing of marketing data between members. 4. The organisation carries out activities related to the assessment of plant protection products. | 0%  >0% but <5%  >5% but <25%  >25% |
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| 1. **DOES YOUR ORGANISATION CURRENTLY HOLD ANY OTHER RELEVANT INTERESTS?** | YES NO |
| Please indicate any interest not falling under the other categories above (such as prizes, gifts, awards and hospitality), relevant for the purposes of the [EFSA decision on Competing Interest Management](https://www.efsa.europa.eu/sites/default/files/corporate_publications/files/competing_interest_management_17.pdf) and falling **within the subject matter of the call**. |

**IF YES**, please specify as follows

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| Entity  (name, legal nature and location of the entity) | 1) Subject Matter (e.g. GMO, pesticides, feed, etc)  2) Your organisation role, tasks and responsibilities  3) Activities of the entity  4) How the activities relate to the subject matter of the call | Impact on annual earnings |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  Network of risk assessment consultancy company, private organisation, Brussel, Belgium | 1. Plant protection products. 2. AOAO won the 2020 best EU consultancy firm in the field of plant protection products. The prize was awarded by this informal network and sponsored by the private company Bio Cattle Inc. 3. The network is an informal network, it comprises several EU consultancies firm active in the field of plant protection products. It organises conferences, trainings and organise an award contest every year. 4. This activity may be related to the subject matter of the call because it concerns plant protection products. | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | 0%  >0% but <5%  >5% but <25%  >25% |

I confirm that:

I think that **my organisation does not have a conflict of interest** with respect to this call that would inevitably occur in case of contract award.

OR

I thinkthat **my organisation has a conflict of interest** with respect to this call that would inevitably occur in case of contract award for the following reasons:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

The legal representative of the declaring entity declares to have read the [EFSA Decision on Competing Interest Management](https://www.efsa.europa.eu/sites/default/files/corporate_publications/files/competing_interest_management_17.pdf) implementing EFSA’s Policy on Independence and that the above declaration is truthful and complete.

Name of the Organisation: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name of the legal representative: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature[[1]](#footnote-2): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. A signature is considered valid if it is either an electronic one or a handwritten one which then has to be scanned into a pdf (or similar) and sent back to EFSA. [↑](#footnote-ref-2)