# **Individual Declaration of Interests for participants to**

**public procurement**

**grant awarding procedures**

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| Title (Prof, Dr, Mr, Ms, Mrs) |  |
| Name |  |
| Family name |  |
| Profession |  |
| Reference of the call for tenders/proposals  *Ex. OC/GP/EFSA/nn/20nn/nn* |  |

**General principles for the completion of the form:**

* **To ease completion, EFSA pre-filled the form with examples in red. All examples should be deleted when completing the form.**
* **Please fill in all fields. Where a Yes or No answer is required, one of the tick boxes must be checked.**
* **Please note that this form must be completed separately by the members of the proposed project team employed by the leader, by any possible partners in a joint offer and by any subcontractor, as indicated by EFSA when requesting the submission of the Individual Declarations of Interest.**
* **Please declare any currently ongoing interest that you (or your close family members[[1]](#footnote-2)) have and which is falling within the specific subject matter of the call.**
* **Please note it is not for the concerned individuals to decide whether an activity may be a source of potential conflict of interest or not. This is assessed exclusively by EFSA. Individuals are required to submit true, accurate and up to date DoIs, providing a concise but exhaustive description of the type of interest or activity they or, where applicable, their close family members carry out. The DoI should be aligned with the information declared in the CV.**

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| 1. **DO YOU OR YOUR CLOSE FAMILY MEMBERS HAVE ANY CURRENT FINANCIAL INVESTMENT?** | YES NO |
| Please indicate any investment such as economic stake or share in an entity with an interest in the subject matter of the call, including its stocks, equities or bonds, or of one of its subsidiaries or of a company in which it has a holding. Financial instruments on which the concerned individual has no influence should not be considered relevant. |

**IF YES**, please specify as follows

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| Description of the investment | Entity on which the investment has been made  (name and location of the entity) | 1) Subject Matter (e.g. GMO, pesticides, substances, products, guidance documents, policies, feed, etc)  2) Whether you have influence / control over the investment (e.g. “no control as it is a fund managed by a professional company with no possibility of deciding the fund’s strategy”; OR “complete control as this corresponds to ordinary shares I can sell or buy at will”)  3) Field of activity of the entity on which the investment has been made  4) How the activities relate to the subject matter of the call |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  I have a financial investment comprising of stocks for a value of EUR 30.000 | Flowers Minnie, Brussels | 1. Plant protection products. 2. I can sell the stocks any time and I have full control on them 3. Flowers Minnie is a company based in the NL whose scope is to produce plant protection products to be placed on the market after EU authorisation. 4. The call concerns the literature review related to the assessment of several plant protection products and Flowers Minnie produces plant protection products. |
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| 1. **DO YOU OR YOUR CLOSE FAMILY MEMBERS HAVE ANY CURRENT MANAGERIAL ROLE?** | YES NO |
| Please indicate any managerial role (such as board membership, directorship, CEO, managerial role allowed to take part to the decision making etc) of an entity **with an interest in the subject matter of the call.**  In the context of EFSA’s remit, **Risk management** means the process, distinct from risk assessment, of weighing policy alternatives in consultation with interested parties, considering risk assessment and other legitimate factors, and, if need be, selecting appropriate prevention and control options (see, Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety, as last amended). It includes the planning, implementation and evaluation of any resulting actions taken to protect consumers, animals and the environment. |

**IF YES**, please specify as follows

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| Entity  (name, legal nature and location of the entity) | 1) Your managerial role (e.g. board member, director, etc., tasks and responsibilities)  2) Field of activity of the entity (subject matter e.g. GMO, pesticides, feed, etc)  3) How the activities relate the subject matter of the call | Does the entity carry out Risk Management activities as described above? | Are you empowered to validate or take Risk management actions/decisions? | Do you serve as a member of a regulatory committee advising on Risk management matters? | Impact on your annual earnings |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  Flower Minnie, private organisation, Brussels | 1. I am a board member, and together with the members of the board we approve the annual budget and we elect the director. 2. The organisation produces and sells plant protection products. 3. The call concerns the literature review related to the assessment of several plant protection products and Flowers Minnie produces plant protection products. | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |

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| 1. **ARE YOU OR YOUR CLOSE FAMILY MEMBERS CURRENTLY MEMBER OF A SCIENTIFIC ADVISORY ENTITY?** | YES NO |
| Please indicate any participation in the works of a scientific advisory entity (i.e. an entity (public or private) providing scientific advice) **with an interest in the subject matter of the call**. You are not required to declare your participation to EFSA’s activities (such as membership of Scientific Panels, Working Groups and Networks). In the context of EFSA’s remit, **Risk management** means the process, distinct from risk assessment, of weighing policy alternatives in consultation with interested parties, considering risk assessment and other legitimate factors, and, if need be, selecting appropriate prevention and control options (see, Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety, as last amended). It includes the planning, implementation and evaluation of any resulting actions taken to protect consumers, animals and the environment. |

**IF YES**, please specify as follows

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| Scientific Advisory Entity  (name, legal nature and location of the entity) | 1) Subject Matter (e.g. GMO, pesticides, feed, substances, products, guidance documents, policies, etc.)  2) Your role, tasks and responsibilities  3)field of Activity of the entity  4) How the activities relate to the subject matter of the call  5) indication of the recipient of the advice | Does the Scientific Advisory Entity carry out Risk Management activities as described above? | Are you empowered to validate or take Risk management actions/decisions? | Do you serve as a member of a regulatory committee advising on Risk management matters? | Impact on your annual earnings |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  ABCD, public organisation, Munich | 1. The organisation provides advice on risk assessment of GMOs to the German Ministry of health. 2. I am an expert part of the GMO working group. The German Ministry of Health submits request for advice to the ABCD and, when they concern GMOs, this working group is responsible for analysing the request and provide an advice. 3. The ABCD operates in the risk assessment of food and feed. 4. This activity relates to the risk assessment of GMOs. 5. The advice is provided to the German Ministry of Health. | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |

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| 1. **DO YOU OR YOUR CLOSE FAMILY MEMBER HAVE ANY CURRENT EMPLOYMENT?**   **(All forms of current employment must be declared. If you are unemployed, this should also be stated)** | YES YES NO NO |
| Please indicate any form of current regular occupation or business, part-time or full-time, paid or unpaid, including self-employment (such as consultancy) Please indicate any current / ongoing consultancy activities performed in the context of employment and provided to individual business operators or other private parties and indicate their identities. In the context of EFSA’s remit, **Risk management** means the process, distinct from risk assessment, of weighing policy alternatives in consultation with interested parties, considering risk assessment and other legitimate factors, and, if need be, selecting appropriate prevention and control options (see, Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety, as last amended). It includes the planning, implementation and evaluation of any resulting actions taken to protect consumers, animals and the environment. |

**IF YES**, please specify as follows

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| Entity  (name, legal nature and location of the entity) | 1) Subject Matter (e.g. GMO, pesticides, feed, etc)  2) Your role, tasks and responsibilities  3) Activities of the entity  4) How the activities relate to the subject matter of the call | Does the entity carry out Risk Management activities as described above? | Are you empowered to validate or take Risk management actions/decisions? | Do you serve as a member of a regulatory committee advising on risk management matters? | Impact on your annual earnings |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  Flower Minnie, private organisation, Brussels | 1. Plant protection products. 2. I am work as a quality expert and I manage the quality control of products. 3. Flower Minnie produces plant protection products. 4. The call concerns the literature review related to the assessment of several plant protection products and Flowers Minnie produces plant protection products. | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |

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| 1. **DO YOU OR YOUR CLOSE FAMILY MEMBERS HAVE ANY CURRENT OCCASIONAL CONSULTANCY?** | YES NO |
| Please indicate any consultancy activity and in which the concerned individual provides advice or services, paid or unpaid, to companies, trade associations or other bodies **with an interest in the subject matter of the call.** If the consultancy is regular it shall be declared under “Employment”. In the context of EFSA’s remit, **Risk management** means the process, distinct from risk assessment, of weighing policy alternatives in consultation with interested parties, considering risk assessment and other legitimate factors, and, if need be, selecting appropriate prevention and control options (see, Regulation (EC) No 178/2002 of the European Parliament and of the Council of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety, as last amended). It includes the planning, implementation and evaluation of any resulting actions taken to protect consumers, animals and the environment. |

**IF YES**, please specify as follows:

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| Entity  (name, legal nature and location of the entity) | 1) Subject Matter of what the consultancy is about  2) the nature of the deliverable (e.g. study report, assessment report, etc.) | Does the consultancy imply Risk Management activities as described above? | Are you empowered to validate or take Risk management actions/decisions? | Do you serve as a member of a regulatory committee advising on risk management matters? | Impact on your annual earnings |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  EFDC, public institution, Vienna | 1. I provide advice to the EFDC on the risk assessment of chemicals. 2. The outcome of my advice is an assessment report. | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | YES NO | YES NO | YES NO | 0%  >0% but <5%  >5% but <25%  >25% |

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| 1. **DO YOU OR YOUR CLOSE FAMILY MEMBERS HAVE ANY CURRENT RESEARCH FUNDING?** | YES NO |
| Please indicate any funding for research or developmental work received from any public or private entity either in a personal or professional capacity and **regarding the subject matter of the call.** It includes grants, rents, reimbursement of expenses, sponsorships and fellowships. This includes funding received by the organisation employing the concerned individual. |

**IF YES**, please specify as follows

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| Type of research funding | Entity(ies) providing the research funding  (name, legal nature and location of the entity) | 1) Topic of the research activity  2) Nature of the associated output (e.g. study report, publication, etc.) |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  Grant | HEDF, public institution, London. | 1. Study on the safety of herbicides. 2. Study report. |
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| **DOES THE FUNDING RECEIVED FROM THE PRIVATE SECTOR CONCERNING THE SUBJECT OF THE CALL EXCEED 25% OF THE TOTAL RESEARCH BUDGET THAT IS MANAGED BY YOU?**  (that is otherwise benefiting you, including research funding received by your organisation) | YES NO |

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| 1. **DO YOU OR YOUR CLOSE FAMILY MEMBERS HAVE ANY CURRENT INTELLECTUAL PROPERTY RIGHTS?** | YES NO |
| Please indicate any right **on the subject matter of the call** that are the result of human intellectual creativity, such as patents and trademarks. Plain authorship and publications are not to be declared. |

**IF YES**, please specify as follows

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| Entity  (name, legal nature and location of the entity) | 1) Subject Matter of the IPR (e.g. GMO, pesticides, feed, etc)  2) Your role and if appropriate, tasks and responsibilities (e.g. patent holder, contributor, copyright holder etc.)  3) How the activities relate to the subject matter of the call | Impact on your annual earnings |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  WPZ, private organisation, Berlin | 1. risk assessment methodology in plant protection products. the patent concerns the development of a methodology for assessing the risk of food products. 2. patent holder. 3. The call concerns the literature review related to the assessment of several plant protection products and Flowers Minnie produces plant protection products. | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | 0%  >0% but <5%  >5% but <25%  >25% |

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| 1. **DO YOU OR YOUR CLOSE FAMILY MEMBERS CURRENTLY HOLD ANY OTHER MEMBERSHIP OR AFFILIATION?** | YES NO |
| Please indicate any membership or affiliation not falling under the definitions provided above and relevant for the purposes of the EFSA decision on Competing Interest Management to any entity **with an interest in the subject matter of the call**. This shall include roles and membership in professional organisations. |

**IF YES**, please specify as follows

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| Entity  (name, legal nature and location of the entity) | 1) Subject Matter (e.g. GMO, pesticides, feed, etc)  2) Your role, tasks and responsibilities  3) Activities of the entity  4) How the activities relate to the subject matter of the call | Impact on your annual earnings |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  GSET, private organisation, Brussels | 1. GMOs. 2. I am a plain member, I receive the newsletter and I participate to the annual conference (not as a speaker). I pay a small annual fee. 3. The organisation brings together specialists from academia, industry and public institutions in order to exchange views on GMOs related issues. 4. This activity relates to the risk assessment of GMOs. | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | 0%  >0% but <5%  >5% but <25%  >25% |

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| 1. **DO YOU OR YOUR CLOSE FAMILY MEMBERS CURRENTLY HOLD ANY OTHER RELEVANT INTERESTS?** | YES NO |
| Please indicate any interest not falling under the other categories above (such as prizes, gifts, awards and hospitality), relevant for the purposes of the [EFSA decision on Competing Interest Management](https://www.efsa.europa.eu/sites/default/files/corporate_publications/files/competing_interest_management_17.pdf) and falling within the subject matter of the call. |

**IF YES**, please specify as follows

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| Entity  (name, legal nature and location of the entity) | 1) Subject Matter (e.g. GMO, pesticides, feed, etc)  2) Your role, tasks and responsibilities  3) Activities of the organisations  4) How the activities relate to the subject matter of the call | Impact on your annual earnings |
| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  FG association, non-profit, Milan | 1. Food additives. 2. I won an award of EUR 10.000 for a study I submitted concerning the risk assessment of food additives. 3. The association is a non-profit promoting research in the field of food additives. 4. This activity relates to food additives. | 0%  >0% but <5%  >5% but <25%  >25% |
|  |  | 0%  >0% but <5%  >5% but <25%  >25% |

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| 1. **ARE YOU CURRENTLY CONTRIBUTING OR HAVE YOU CONTRIBUTED TO ANY WORK RELATED TO THE SUBJECT MATTER OF THE CALL?** | YES NO |
| Please indicate any project/work you are contributing and/or contributed to that constitutes a part or one of the subjects (e.g. publications, opinions, paper, study, test or protocol) of the present call.  Should you not be aware at this stage of the present procedure of any project/work you contributed to that constitutes a part or one of the subjects of the present call please tick the box ‘no’. It is to be noted that following the definition given by Art. 7 (3) of [EFSA decision on Competing Interest Management](https://www.efsa.europa.eu/sites/default/files/corporate_publications/files/competing_interest_management_17.pdf) and recital 104 of the EU Financial Regulation, EFSA considers that experts should not evaluate a project in which it has participated. The concepts of “**project/work**” should be meant as inclusive of publications, papers, data, studies, results or scientific opinions, with the exception of those adopted by EFSA’s scientific groups. |

**IF applicable**, please specify below:

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| EXAMPLE PROVIDED BY EFSA AND TO BE DELETED WHEN SUBMITTING YOUR DECLARATION:  In 2015 I was working as a scientific officer for YWC, a private laboratory in Rome (Italy). In this context I was the project leader of a study commissioned by GFV (food company) concerning the toxicological safety of ypm (active substance). The study was submitted to EFSA as part of the relevant application. |

I confirm that:

I think **I do not have a conflict of interest** with respect to this call for tender/proposal that would inevitably occur in case of contract/grant award.

OR

I think **I have a conflict of interest** with respect to this call for tender/proposal that would inevitably occur in case of contract/grant award for the following reasons \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

I hereby declare that I have read the [EFSA Decision on Competing Interest Management](https://www.efsa.europa.eu/sites/default/files/corporate_publications/files/competing_interest_management_17.pdf) implementing EFSA’s Policy on Independence and that the above declaration is truthful and complete.

Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Signature[[2]](#footnote-3):\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. “Close Family Member” means: a spouse, meant as the person engaged in the marital relationship with the Concerned individual; ii. a partner with whom a Concerned individual has contracted a registered partnership, on the basis of the legislation of the relevant legal system; iii. the direct descendants and ascendants who are financially dependent on the Concerned individual. [*EFSA (European Food Safety Authority), 2018. EFSA rules on competing interest management*](https://www.efsa.europa.eu/sites/default/files/engage/Procurement/DecisionED_CompetingInterestManagement.pdf). [↑](#footnote-ref-2)
2. A signature is considered valid if it is either an electronic one or a handwritten one which then has to be scanned into a pdf (or similar) and sent back to EFSA [↑](#footnote-ref-3)